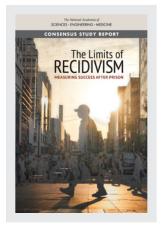
The National Academies of Academies of

ENGINEERING THE NATIONAL ACADEMIES PRESS

This PDF is available at http://nap.edu/26459





The Limits of Recidivism: Measuring Success After Prison (2022)

DETAILS

260 pages | 6 x 9 | PAPERBACK ISBN 978-0-309-27697-9 | DOI 10.17226/26459

CONTRIBUTORS

GET THIS BOOK

FIND RELATED TITLES

Richard Rosenfeld and Amanda Grigg, Editors; Committee on Evaluating Success Among People Released from Prison; Committee on Law and Justice; Division of Behavioral and Social Sciences and Education; National Academies of Sciences, Engineering, and Medicine

SUGGESTED CITATION

National Academies of Sciences, Engineering, and Medicine 2022. *The Limits of Recidivism: Measuring Success After Prison*. Washington, DC: The National Academies Press. https://doi.org/10.17226/26459.

Visit the National Academies Press at NAP.edu and login or register to get:

- Access to free PDF downloads of thousands of scientific reports
- 10% off the price of print titles
- Email or social media notifications of new titles related to your interests
- Special offers and discounts



Distribution, posting, or copying of this PDF is strictly prohibited without written permission of the National Academies Press. (Request Permission) Unless otherwise indicated, all materials in this PDF are copyrighted by the National Academy of Sciences.

4

MEASURING SUCCESS BEYOND RECIDIVISM

Behavioral change is a multifaceted process shaped by structural, institutional, and environmental contexts. Hence, it is unreasonable to expect that a single behavioral indicator can truly identify whether an individual has "succeeded" or "failed" in making a transition from prison to the community. Moreover, the transition to a prosocial lifestyle will likely be different for different individuals. Individuals are likely to vary as to which behaviors are more (or less) important for their overall reintegration. This perspective was one of the most consistent themes of the committee's listening session with those with lived experiences in making a transition from prison to the community.

Previous research and practice have not sufficiently recognized the importance of individual differences in understanding pathways to successful reintegration, and there is a dearth of literature reflecting the voices of criminal legal system (CLS)–involved individuals in understanding markers of success. Measures of success for this population would be better-informed and more effective if official sources of recidivism were supplemented by the point of view of the individuals themselves and the way they view success. Such a conceptual shift in measuring success would then include domains that are referred to in other literatures as the social determinants of health, such as an individual's economic stability, health status, housing conditions and living environment, educational needs, and the broader social and community context of which they are a part.

BOX 4-1

Listening Session: Partnering with Individuals with Lived Experience in Reentry Research and Programming

During a public information-gathering session held by the Committee on Evaluating Success Among People Released from Prison, practitioners and those with lived experience emphasized that those who have been formerly incarcerated have often been omitted from the process of research question development, study execution, and data analysis and dissemination. This is true of studies of recidivism. Centering research on those with lived experience adds crucial insight into what works and what does not, creates opportunities for meaningful work for those with direct experience, and may build trust in communities where generations of neglect and harmful actions have built a foundation of earned distrust (Israel et al., 1998).

Kara Nelson, Director of Public Relations and Development at True North Recovery: "We have to be at the table. We aren't just redemption stories, we're leaders who have something to say and something to offer and we will be the ones with the solutions to make that change."

Sam Lewis, Executive Director of Anti-Recidivism Coalition: "I say this with all due

Prepublication copy, uncorrected proofs 4 - 1

respect. There needs to be a lot more people who were formerly incarcerated – I don't describe myself as directly impacted, I was formerly incarcerated—in the world of academia."

Susan Burton, Founder of A New Way of Life Reentry Center: "The program and the participants need to define what success means, and [we] need to collect [qualitative] data around that"

Venus Woods, Director of HIV Prevention and Education with the Alaskan AIDS Assistance Program: "I also agree that successful reentry programming has to be set by the person that was incarcerated. I think that there's no one-size-fits-all-solution to reentry programming...People that have been in prison need to be the ones making decisions for their programming."

SOURCE: See Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session (July 27, 28, 2021). Session 1: https://www.nationalacademies.org/event/07-27-2021/evaluating-success-among-people-released-from-prison-meeting-2-public-information-gathering-session-1. Session 2: https://www.nationalacademies.org/event/07-28-2021/evaluating-success-among-people-released-from-prison-meeting-2-public-information-gathering-session-2.

END BOX

Research on reentry experiences indicates that most individuals transitioning from incarceration need time to adapt to an identity as a prosocial community member who is living and positively interacting in their community. Desistance from criminal activity is increasingly understood as a process and it is possible, even likely, that individuals who are successful in one area at the same time face challenges in another area. For example, individuals may be engaged in a job training program but also experience a relapse to substance use. Or a person may have reunited with their children and family and engaged with health care providers yet be unable to find a job. Signaling theory can be used to help identify individuals who are in the process of desisting from criminal behavior (Bushway and Apel, 2012). As discussed in Chapter 3, greater attention to incremental indicators of individual success (as opposed to failure) may help to identify markers of desistance (Anderson et al., 2020). These indicators encompass more than criminal legal system involvement, but also progress in other domains such as health, housing, employment, education, social relationships, and civic and community life.

Further, the path to reintegration for individuals is also shaped by broader structural and contextual conditions. Post-release success is also affected by the supports or obstacles that individuals face within prison and in the community. As oral reports gathered by the Committee suggest and as discussed in Chapter 3, being in prison can be a turning point and facilitate desistance, but this depends on the prison environment and supports within prison (Wright, 2020). Individuals assigned to maximum security or restricted movement are ineligible for programming, and interpersonal contact, including with visitors, is reduced (Crittenden and Koons-Witt, 2017; Gaes and Camp, 2009; Mears and Bales, 2009). Once released, individuals face monetary sanctions, the conditions of supervision, and the collateral consequences of incarceration. Often these post-release circumstances impede successful post-release trajectories

Prepublication copy, uncorrected proofs 4 - 2

and also have disproportionate effects on Black and Latinx individuals (see National Research Council, 2014).

Previous research and comments during the committee's listening session indicate that the importance of community and structural factors that shape successful reintegration has not been recognized sufficiently by researchers and evaluators (see Box 4-2 below). Success following incarceration cannot be understood without attention to the social and environmental context to which people return. For example, if people are returning to communities where the unemployment rate is high at baseline (Western, 2006), how likely is it that they will find a job? If the nearest opioid treatment program is more than 60 minutes away, how will they manage their addiction (Joudrey, Edelman, and Wang, 2019)? Without understanding how community contextual factors shape an individual's return from prison, policy makers, service providers and communities miss opportunities to increase the likelihood of success following release from prison.

BOX 4-2

Listening Session: Social Context, Structural Conditions, and Post-Release Success

During a public information-gathering session held by the Committee on Evaluating Success Among People Released from Prison, practitioners and those with lived experience spoke to the impact of social ties and structural conditions on reentry success.

George Braucht, co-founder of the Certified Addiction Recovery Empowerment Specialist Academy: "It's about pushing through the idea that the problem is solely within the individual. Behavior is always a function of the interaction between people and their environment. It's building places, community, and having people have a sense of really being valued and belong within community..." (Braucht, 2021).

John Valverde, President and CEO of Youthbuild USA: "Structural injustice exits and has done multi-generational harm to people living in poverty and on the margins, especially people of color. And that criminal justice involvement is intricately connected to this. The barriers to success can feel insurmountable to some people and those barriers are real" (Valverde, 2021).

Sam Lewis, Executive Director of the Anti Recidivism Coalition: "When a person comes home from incarceration, you're trying to fit back into society and you need to feel like you're welcome. And often because of all of the stereotypes that go along with it, and the red scarlet letter, and the boxes you have to check, make you feel like you're not part of society. But if you have a community of people who have gone through the same thing you've gone through and overcome those things, then you know you can do it too. And not only that but should you stumble and fall you have a community that is going to reach down and lift you up and walk with you and tell you we can overcome these barriers together and we've got your back. That makes you feel comfortable and as you progress with your transition from incarceration you become comfortable knowing that you not only belong to this community but you belong to a broader community" (Lewis, 2021)

END BOX

Prepublication copy, uncorrected proofs 4 - 3

This chapter begins with a review of the state of the science around evidence-based correctional and reentry-focused programs on post-release outcomes, with attention to the most pressing needs facing the field. The next section addresses broader approaches to evaluation, offering suggestions that can be applied to the measurement of success across different life domains. We build on Chapter 3's discussion of how larger structural and community contexts shape post-release success and offer specific measures that account for structural and community contexts. A brief discussion of the value of self-report data follows. We then consider alternatives to official measures of recidivism as indicators of post-release success, including proposed measures of criminal desistance.

Finally, the chapter turns to research on the measurement of overall well-being as a more holistic, multidimensional, and person-centered measure of post-release progress. This includes a review of research on indicators in specific domains that could be used as complementary or alternative measures of progress. Domains discussed include physical and mental health status, housing status, employment, educational attainment, civic and community engagement, and social relationships with family, peers, and other social supports. The chapter concludes with a discussion of research needs to improve the measurement of post-release outcomes for criminal legal system-involved individuals, with attention to needs for shared data collection standards and data sharing across policy domains.

THE STATE OF THE SCIENCE: EVIDENCE-BASED REHABILITATION AND REENTRY

Within corrections, a significant research focus in the 2000s has been what Francis Cullen has called "reaffirming rehabilitation" through science (Cullen and Gilbert, 2012; Cullen and Gendreau, 2000; 2001). Research emphasizing what works in rehabilitation programming, including meta-analyses of previous and more contemporary correctional programs, has aimed to develop knowledge to help policy makers and practitioners choose evidence-based reentry programs (Sherman et al., 2006; Weisburd, Farrington, and Gill, 2017; Wilson, Gallagher, and MacKenzie, 2000).³⁴ Evaluations in this vein using randomized controlled trial (RCT) methodology have found that substance abuse treatment, cognitive behavioral therapy, and some educational programs are effective approaches to reducing recidivism as measured by official records of rearrest, reconviction, and reincarceration (Doleac, 2018; Lacoe and Betesh, 2019; MacKenzie, 2006; Moore et al., 2019; Visher et al., 2017). Despite at least a decade of evaluation on employment-focused interventions such as transitional jobs, job readiness, or job training connected to immediate employment, such programming only minimally affects longerterm employment and has little effect on official measures of recidivism (Lacoe and Betesh, 2019; Muhlhausen, 2015), although it is likely that conceptual and measurement issues hinder stronger conclusions.

Reentry programming faces a number of pressing challenges. One key area of correctional programming is discharge planning or specific programming to help individuals transition from prison to the community (La Vigne et al., 2008). However, despite decades of discussion that "reentry begins at prison entry" (Wilkinson, Rhine, and Henderson-Hurly, 2005), reentry planning and programming in prison is often not initiated until a few months before release. Such in-prison reentry programming is rarely adequate for the needs of people returning

Prepublication copy, uncorrected proofs 4 - 4

³⁴See <u>https://crimesolutions.ojp.gov/</u>.

from incarceration (Duwe, 2018; Wilkinson, Rhine, Henderson-Hurly, 2005) and seldom acknowledges the structural barriers and community contexts to which people return.

In addition, despite the diverse needs facing individuals after release from prison (highlighted in Chapter 3), correctional programming is often narrowly focused. For example, reentry programs commonly focus solely on job training or substance use, or are only situated in the criminal legal system without considering how other social support or "safety net" systems act as important agents of success following prison release (Hawks et al., 2021). Connections are lacking between correctional systems and the community service agencies that provide substantial assistance to criminal legal system-involved individuals (Byrne, 2019; Muhlhausen, 2015; Shavit et al., 2017; Visher, 2007).

Correctional programming has also been insufficiently attentive to the heterogeneity of criminal legal system-involved individuals, particularly women, racial and ethnic minorities, and other distinct subgroups. The depth of challenges these populations face, described in Chapter 3, sometimes including persisting poverty and disadvantage dating back to childhood (Western, 2018), makes it particularly important to develop individualized approaches to reentry and community reintegration. Also, scholars have recommended that effective in-prison programming and evaluation requires attention to program dosage, timing, and sequencing (Duwe, 2018; Visher, 2007; Wilkinson, Rhine, and Henderson-Hurly, 2005). As discussed in Chapter 3, the use of peer mentors and other supportive relationships can also improve the delivery of reentry and correctional programming.

Reentry and transition from incarceration back to the community is best conceived as a process, one that can begin in prison but continues with services and other support in the community, especially in the first six months after release (Latessa, Johnson, and Koetzle, 2020). Better analytic methods are needed for modeling these processes longitudinally, including measures of incremental progress. People exiting prison face a host of challenges, and many reentry programs attempt to develop multicomponent or wrap-around service models to address their numerous needs. However, these approaches are difficult to evaluate and may require longer follow-up periods (Doleac, 2019; Lattimore, 2020; Lindquist, Willison, and Lattimore, 2021).

Other reentry and rehabilitation approaches, such as reentry courts, swift-certain-fair supervision (Cullen, Pratt, and Turanovic, 2017; Lattimore et al., 2016), and comprehensive approaches, such as programs funded by the Second Chance Act, have shown limited impacts on post-release outcomes, including substance-use relapse, rearrest, or reincarceration (Bitney et al., 2017; D'Amico and Kim, 2016; Lindquist, Willison, and Lattimore, 2021). A common result in reentry program evaluations is that individuals do receive more services, but reentry outcomes do not improve. However, historically, the evaluation literature on correctional programming has been tied to the inadequate measures of repeated contact with the criminal legal system that were discussed in Chapter 2. Rare are the studies that have linked program evaluations for justice-involved individuals to broader measures of desistance and reintegration (Wright et al., 2021; Hawks et al., 2021).

Even rarer are studies that acknowledge the community and structural contexts to which people come home (Puglisi, 2021). The science of measuring success following release has, by and large, not taken into account the realities of the communities to which people return. Yet people's pathway to success following release, even if understood as heterogeneous and evolving over the life course, cannot be accurately measured without accounting for community and structural factors, including racism. (For an example of work accounting for racism and health

Prepublication copy, uncorrected proofs 4 - 5

inequities from a life course perspective, see Gee, Walsemann, and Brondolo, 2012.) Neighborhoods vary significantly in terms of availability of employment, health care, and housing opportunities, which makes these places even more difficult for minority populations (National Research Council, 2014; Western, 2006). The community context includes both the general environment to which people return and also the resources an individual has been offered (or has access to). Measuring this context which can be difficult, given that public services lack coordination, their record keeping systems lack compatibility, and their accessibility is often restricted for people with felony records, either directly through laws or policies or indirectly through discriminatory practices. This makes it difficult or impossible to measure the challenges or successes of individuals returning from incarceration as they navigate the health care system, secure housing and employment, and obtain access to welfare benefits (Chen and Meyer, 2020; Wang et al., 2019).

Thus, despite substantial progress in the past two decades on understanding the challenges facing people released from prison and their pathways to desistance and reintegration, U.S. research on the topic seems to have reached a critical moment (Jonson and Cullen, 2015). Current methods of evaluation do not serve the most pressing needs of policy makers and reentry practitioners. The barriers to reintegration are clear—recent replications of the longitudinal studies of individuals' transitions from prison to the community conducted by the Urban Institute and RTI International in the early 2000s find similar obstacles facing returning citizens and high rates of continued involvement with the criminal legal system (Harding, Morenoff, and Wyse, 2019; Western et al., 2015; Western, 2018).

What appears to be lacking is measurement grounded in a theory of change and specification of causal mechanisms that have been rigorously tested (Lindquist, Willison, and Lattimore, 2021, p. 353). Logic models that predict that reentry programming will influence intermediate outcomes, such as employment, stable housing, and substance use, which will then facilitate desistance and reintegration have not been validated (Lattimore, 2020; Mulhausen, 2015). Thus, better measures of reentry outcomes could address a critical need in rehabilitative and reentry programming (Butts and Schiraldi, 2018). Further development and testing of the possible theoretical frameworks in Chapter 3 of this report are needed to make progress on this front.

BOX 4-3

Listening Session: Defining Reentry Success, and the Need for Resources

Formerly incarcerated individuals, policy practitioners, and scholarly experts who participated in the committee's information-gathering sessions discussed their definitions of success in reentry and how context and access to resources shape successful reintegration.

John Valverde, President and CEO of Youthbuild USA: "For me, success is not about being out of prison and struggling to survive—or out of prison but homeless, unemployed, living in constant fear of judgment and rejection from the world – or addicted to substances and without a network of support of caring positive people." (Valverde, 2021)

John Valverde, President and CEO of Youthbuild USA: "As I said earlier [success] really isn't about just surviving. It's about the sense of belonging and feeling you can contribute

Prepublication copy, uncorrected proofs 4 - 6

to society that you're accepted. Even as a CEO of a global organization, when I moved from NY to Boston I was denied my first apartment because I had to check the box. Even though I was on TV and there were press releases and they knew who I was they said we cannot set a precedent and allow a formerly incarcerated person to live in this community. It's real even for people who have overcome so much. Imagine for those who don't have the opportunities that some of us have had."

Kara Nelson, Director of Public Relations and Development at True North Recovery: "To me, success is better quality of life. Can just be basic needs, getting access to food then looking at housing, peer support, education, employment comes later after basic needs get met." (Nelson, 2021)

Walter Strauss, (retired) New York City Housing Court Judge: "I think the way we have a system now is that someone is incarcerated and then they're suddenly released and then if it's an agency or individuals or a group [reentry program] it's all—'okay here's this individual and now you make them whole again.' It's like starting from scratch....[returning citizens] need more intensive counseling, more assistance in finding housing, in finding jobs, in dealing with discrimination." (Strauss, 2021)

SOURCE: See Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session (July 27, 28, 2021). Session 1: <u>https://www.nationalacademies.org/event/07-27-2021/evaluating-success-among-people-</u>released-from-prison-meeting-2-public-information-gathering-session-1.

END BOX

NEW APPROACHES TO MEASUREMENT: CONTEXTUAL CONDITIONS AND DATA COLLECTION

This section considers broader shifts in approach to evaluating success. Specifically, it considers the role of contextual conditions in shaping post-release outcomes and the value of self-report and qualitative data in informing the evaluation of success. These shifts in approach could be productively applied to efforts to measure success in the domains discussed in the following sections.

As detailed in Chapter 3, community and macro-level contexts play an important role in shaping post-release outcomes. Communities vary widely in the strength of their social networks and in the resources that are available to individuals returning from incarceration. Reintegration is supported by a return to communities that are characterized by ample access to basic resources and services and strong supportive community networks, and it is undermined by a return to neighborhoods characterized by inequality and socioeconomic disadvantage (Kubrin and Weitzer, 2003; Simes, 2019; Visher and Travis, 2005). There are also important differences in how community and macro-level impacts shape the success of Black and Latino/a individuals, who are more likely to return to neighborhoods that lack cohesion and material resources (Kubrin, Squires, and Stewart, 2007; National Research Council, 2014). Finally, as explained in Chapter 3, particular groups also experience special reentry needs. For example, formerly incarcerated women are more likely than men to have been primary caregivers for their children

Prepublication copy, uncorrected proofs 4 - 7

before incarceration, and they generally place a higher priority on reunification with children following their release (Giordano, Cernkovich, and Rudolph, 2002; Glaze and Maruschak, 2016; Richie, 2001).

Similarly, given racial and ethnic health inequalities and the disproportionate incarceration of Black, Latino/a, and Indigenous communities, success for people, particularly people belonging to these communities, will be shaped by systemic inequalities in exposure to barriers and access to resources (Bailey, Feldman, and Bassett, 2021; Churchwell et al., 2020). Evidence has shown that racial disparities not only impact economic and social opportunities, but also produce trauma, harms to psychosocial health, and poor coping behaviors while also weakening access to health care and to political inclusion (Bailey et al., 2017). A Black individual returning to a community with discriminatory policies that further stigmatize and marginalize individuals with incarceration histories is much more likely to struggle to achieve a successful return from incarceration than the same individual would be if returning to a community without such policies. Indeed, some analysts have argued that the entire system developed to help individuals succeed is heavily influenced by structural conditions that impede success (Ortiz and Jackey, 2019).

Evaluating how well institutions and organizations act as facilitators of success following release is essential, especially evaluating how the systems that provide health care, food, transportation, education, and employment support the needs of recently released individuals. Linking data from correctional systems to other administrative data from within state and local government could provide further understanding of how different sectors support the success of individuals following release (Willoughby et al., 2021). Studies have linked data from correctional systems and health systems or payors and used measures such as "preventable hospitalizations" as an indicator of the quality and accessibility of primary care for individuals leaving incarceration (Wang, Wang, and Krumholz, 2013). Low rates of preventable hospitalizations among people just released from correctional facilities could indicate success, from the perspective of the health care system, in caring for this population. Other studies have linked data from correctional systems to opioid overdose databases and cancer tumor registries, which indicates how data linkages can provide windows into how health systems can better serve people who are being released from prison (Krawczyk et al., 2020; Puglisi et al., 2021). To be sure, these administrative linkages would need to be carefully designed and monitored with input from individuals with a history of incarceration to avoid additional surveillance leading to repeat encounters with the criminal legal system. Modern day examples of cross-system surveillance are numerous (see Brayne, 2014; Harada et al., 2021). For example, they include individuals being arrested at methadone treatment centers as well as the case of Operation Talon, where people obtaining food stamps were first screened for pending arrests (Gustafason, 2009).

In summary, communities may lack the resources to help individuals succeed after prison, and these contextual circumstances are rarely accounted for in current observational and intervention studies of people released from prison. Individual success (and failure) for individuals returning from prison results from a combination of individual behaviors and decisions, their social context, and the systemic supports or barriers they face. Thus, measures of success are incomplete if they fail to capture a holistic understanding of an individual's surroundings, particularly whether the neighborhood they live in has the resources and supports to facilitate success, if the place they return to has policies and practices that actively prohibit their progress and eventual desistance after incarceration, and lastly if the organizations and institutions which provide these resources and supports, such as health care systems or safety net

Prepublication copy, uncorrected proofs 4 - 8

structures, are attentive to or dismissive of their needs. Metrics of progress following release that do not account for whether an individual's surroundings will facilitate or deter their successful reintegration are inadequate.

Methods for Measuring Community and Structural Conditions

The most effective measures of success following release from prison include measures of the structural and social context of the communities to which people return, both for understanding what facilitates success and for identifying interventions that promote individual and community well-being. To start, recording the residential address (as is available in administrative data) or zip code of returning individuals within intervention studies and program evaluations will enable a broader understanding of how a person's community and structural factors affect the potentiality of success following release (Chambers et al., 2018; Vilda et al., 2021).

Having participant-level residential address data enables linkages to existing small-area measures of the structural and social context of communities, such as the Area Deprivation Index (ADI). The ADI allows census tracts to be compared by socioeconomic disadvantages based on income, education, employment, and housing (Kind and Buckingham, 2018; Link and Phelan, 1995; Ludwig et al., 2011). A growing literature in the health services field shows that a community's ADI is associated with health utilization (Kind and Buckingham, 2018) and is a stronger (or as strong as) predictor of health outcomes as individual-level characteristics (Powell et al., 2020). For example, in a national sample of Medicare patients with severe health conditions (congestive heart failure, pneumonia, or myocardial infraction), ADI is associated with more rehospitalizations in 30 days in the most disadvantaged places (Kind et al., 2014), even after adjusting for individual-level factors. This finding has been corroborated with other research showing that those living in the most disadvantaged places based on ADI are 70 percent more likely to be readmitted to the hospital compared to those in the least disadvantaged places (Hu, Kind, and Nerenz, 2018). Similar analyses for studying outcomes among persons released from prison could reveal important geographic patterns of post-release success and failure. (Further discussion of this issue appears in the section on "Research Needs" below.)

In addition to offering a richer, more accurate measurement of success, measures that account for local disadvantage and structural context could also result in more effective prisonand community-based interventions (Kubrin and Stewart, 2006). In one of the committee's information-gathering sessions, Nneka Jones-Tapia, managing director of Justice Initiatives at Chicago Beyond and former warden of the Cook County jail, discussed the need for measures of community capacity, noting the need for resources and programs to support individuals returning from incarceration (Jones-Tapia, 2021). University of North Carolina public health professor and former public health practitioner Dana Rice emphasized the need to supplement measurements of individual success with indicators of social determinants of health such as community cohesion, health care access, quality education, economic stability, and features of the built environment. It is especially worth noting that given persistent racial and ethnic inequalities in health, education, and employment, and especially the disproportionate incarceration of Black, Brown, and Indigenous populations, success for people belonging to these communities returning home from incarceration will vary by how intensely these inequalities are embedded within each of these systems and the community at large (Rice, 2021).

Prepublication copy, uncorrected proofs 4 - 9

Finally, measuring and evaluating an individual's success within the context of their community supports and (especially) structural barriers for historically marginalized populations released from corrections requires that researchers recognize how race and ethnicity are being measured and operationalized in studies. In quantitative studies, self-identified race is used as a confounder, implying that a person's race is associated with the probability of success, as opposed to self-identified race being seen as an indirect proxy of embedded inequalities and a root cause for health inequities or inequities in success following release. This, in the words of Boyd and colleagues (2020) "renders racism less visible and thus less accessible as a preventable etiology of inequity." To avoid the quantitative erasure of this crucial aspect of mass incarceration, the explicit operationalization of race and ethnicity in studies is needed; it is needed, that is, both to be concrete about racism's outsized role in success following release and also to illuminate opportunities for intervention (Krieger, 2000).

New Approaches to Data Collection: Self-Report Data

Improving the evaluation of success for individuals released from prison will benefit from changes in approach, in addition to new metrics. One prominent area for such improvement is in data collection itself. In many instances, subjective measures of success from individual self-reports may be more informative than objective measures gathered from officially recorded data. In order to both center experiences of formerly incarcerated people in the measurement of success and also use more holistic measures of individual success, we draw on both administrative data and self-reported indicators of each domain of success, including individual well-being, health, education, employment, civic engagement, and social relationships. As mentioned previously, this represents a conceptual shift in research, moving away from using only administrative or expert-ascertained data and instead anchoring the design and implementation of programs, services, and policies in measures of success that are better suited to capture individual perceptions of well-being, health, and quality of life in other domains.

ALTERNATIVE INDICATORS OF SUCCESS

A shift in approach is needed to move researchers, practitioners, and policy makers beyond the conceptually limited definitions of success that focus solely on criminal legal system involvement (recidivism) or even on criminal behavior (desistance). As previously discussed, the vast majority of the research on individual transitions from criminal activity to a prosocial identity, including transitions from prison to the community, focuses on measures of failure, principally official measures of recontact with the criminal legal system. Studies of desistance from crime illuminate other outcomes that correlate with desistance processes including cognitive changes, conventional ties (e.g., family, employment, prosocial peers), and sobriety (Bachman et al., 2013; Butts and Schiraldi, 2018; Lattimore, Dawes, and Barrick, 2018; Paternoster et al., 2016; Sampson and Laub, 1993, 2003). For people leaving prison to achieve personal well-being, avoid contact with the criminal legal system, and become productive citizens, studies may also need to capture engagement with multiple other domains, including health care, housing, education, employment, and social and community integration. However, rigorous research on the measures of individual progress within these domains is rather limited (Butts and Schiraldi, 2018). The need for multidimensional, holistic measures of success following release from prison leads us to a measure of overall well-being as an important

Prepublication copy, uncorrected proofs 4 - 10

indicator of individual success. In this section, we discuss existing measures of well-being, proposed measures of criminal desistance, and how measures of success in other domains could be constructed. In addition, the text refers to Table 4-1, which presents suggestions for alternate measures of individual outcomes that could be used in various circumstances, including probation officers' progress reports, research on individual post-release trajectories, and evaluation studies on the effectiveness of reentry programs.

Overall Well-Being

Well-being concents whether people perceive that their lives are going well. Living conditions such as stable housing, meaningful employment, safe neighborhoods are fundamental to well-being. How people think and feel about their lives is equally important, including the quality of their relationships, their emotions and resilience, their realization of their potential, and their overall satisfaction with life. As such, well-being holds promise as a positively framed metric that reflects an individual's current state of being with a focus on health and life satisfaction (Stiefel et al., 2016). Such a measure aligns squarely with the World Health Organization's definition of health and moves beyond solely examining the absence of physical or mental illness to evaluating a range of life experiences (World Health Organization, 1948). To be sure, release from prison is challenged by infirmity and worsening of health conditions, hospitalizations, and even higher rates of death. Past studies have catalogued a worsening of HIV disease, hypertension, and hospitalizations and even deaths from preventable conditions (Massoglia and Pridemore, 2015; Wildeman and Wang, 2017). However, even health outcomes researchers are moving away from direct measures of specific physical (i.e., diabetes) and mental (i.e., depression) health conditions to include more holistic measures of health and well-being.

Previous research has validated several self-reported questionnaires aimed at assessing individual well-being. One promising measure of overall well-being is the Cantril Self-Anchoring Striving Scale (Sundaresh et al., 2021), which has been used extensively in research on well-being in the United States and internationally. Respondents rank their current life satisfaction and future life optimism on scales from 0 to 10. To help conceptualize and visualize the scale, an image of a ladder is used.Current life satisfaction responses of greater than or equal to 7 and future life optimism responses of greater than or equal to 8 are classified as a *thriving* life evaluation. Responses of current life satisfaction and future life optimism less than or equal to 4 are classified as a *suffering* life evaluation. All combinations of responses between *suffering* and *thriving* are classified as a *surviving* life evaluation. Estimates of life expectancy are based on the Life Evaluation Index, which is calculated for any population group as (% Thriving –% Suffering)*100. An increase of one standard deviation (SD) in the Life Evaluation Index (mean 48, SD 5.4) is associated with an estimated 1.54-year longer life expectancy at the population level (Arora et al., 2016).

Recent studies using this measure of well-being have shown that well-being in each measured domain was lower for individuals with exposure to police stops, arrests, and incarceration, compared to those not exposed (Sundaresh et al., 2020). Further, longer durations of incarceration and multiple incarcerations were each associated with progressively lower well-being, and those exposed to police stops with searches (i.e., stop-and-frisk) reported levels of well-being as low as those who experienced multiple incarcerations. As the authors suggest, this illustrates "the extent to which even lower-level contact with the criminal legal system is negatively associated with quality of life" (Sundaresh et al., 2020, p. 5120). Another study found

Prepublication copy, uncorrected proofs 4 - 11

that a family member's incarceration was associated with lower well-being in every domain of well-being and an estimated 3.6-year shorter life expectancy compared with those without an incarcerated family member (Sundaresh et al., 2021). Among individuals with any family incarceration, Black respondents had a lower life expectancy (an estimated 0.46 fewer years) than White respondents.

In addition to the Cantril Self-Anchoring Striving Scale, there are other instruments that are validated and used with Cantril's ladder that can measure individual and community-level well-being.³⁵ These include the 100 Million Healthier Lives measure (led by the Institute of Healthcare Improvement, which includes the domains of physical health, mental health, spiritual support, financial support, and social support) and the Well-being in the Nation measures³⁶ (Stiefel et al., 2016). These two measures are being used both at the individual level and at the community level. Selecting a well-being measure like these three which are being used among non-incarcerated individuals and entire communities enables comparisons with a never-incarcerated group. This achieves two aims: (1) to have benchmarks to compare how incarcerated. These surveys enable measurement of individual factors that contribute to success following release, including physical and mental health, but also the social environments that directly influence individual well-being.

Already underway is a multisite randomized controlled trial of a six-week mental health intervention, the 5-Key Model for Reentry, where the primary outcome is individual-level psychological well-being. This intervention targets five key domains proposed to influence wellbeing: healthy thinking patterns, positive coping strategies, positive interpersonal relationships, positive social activities, and occupational balance (Veeh, Renn, and Pettus-Davis, 2018). According to the oral presentation made by Carrie Pettus-Davis to the committee, the model was developed in part with formerly incarcerated individuals and researchers to focus on an individual's strengths, in contrast to a deficit-based model. It is highly adaptive to accommodate individual needs; for example, substance-use treatment is implemented into the intervention for individuals with substance-use disorders. Further, there are validated assessments of each core domain, which allows for an individual's progress to be tracked over time and allows for specific services and treatment plans to be adjusted as needed. For example, throughout the duration of the intervention, a fidelity monitoring tool is implemented to ensure consistency across different practitioners implementing the intervention while also allowing for the flexibility needed for individual participants.

This intervention is being tested using a randomized controlled trial design with more than 2,000 incarcerated individuals across 100 U.S. prisons and jails. Preliminary data suggest that not only does the intervention group have improved well-being in each of the domains, but also lower rates of being reincarcerated compared to a control group. Further, the five well-being domains are associated with increases in overall well-being, which is in return associated with decreased likelihood of reincarceration. Early data also suggest that participants of color and those who have been incarcerated several times are more likely to engage with the 5-Key Model intervention than their White peers or those who have been incarcerated only once (Pettus-Davis and Veeh, 2021). This model serves as an important proof of concept that such interventions, targeting various components of well-being, may have large impacts on recontact with the

³⁵See <u>https://www.rand.org/capabilities/solutions/measuring-wellbeing-to-help-communities-thrive.html</u>.

³⁶See https://web.archive.org/web/20210126145106/https://wellbeingtrust.org/areas-of-focus/community-transformation/well-being-in-the-nation-win-network/.

criminal legal system and that measures of well-being can be effectively used to measure success following prison release, including avoiding return to prison. Examples of the measurement of individual well-being are presented in Table 4-1.

Criminal Desistance

As a supplement to official measures of recidivism, formal measures of criminal desistance would provide useful information about an individual's post-release progress related to any continuation of criminal activity. As discussed in Chapter 3, the measurement of desistance tracks positive outcomes that indicate reduced involvement in offending over time, ultimately including the complete cessation of criminal behavior. A 2021 National Institute of Justice report, Desistance-Focused Criminal Justice Practice, identifies three basic approaches to understanding and measuring desistance: (1) deceleration, (2) de-escalation, and (3) "reaching a ceiling" or cessation (Bucklen, 2021, p. 1). As Bucklen describes these terms, deceleration refers to a slowdown in the frequency of criminal offending and may be measured by comparing the frequency of criminal activity in fixed periods of time. De-escalation indicates a reduction in the severity of criminal activity and may be measured by changes in gravity scores for offenses. Cessation or reaching a ceiling refers to the absence of offending for some follow-up period, which might be considered the inverse of recidivism. Although measuring such changes is difficult and fraught with potential biases stemming from use of official or self-reported data, the modalities are helpful in distinguishing important qualitative differences in trajectories of criminal behavior over time. De-escalation and desistance from more serious violent offenses such as robbery and aggravated assault may represent reentry success, even when there is little to no deceleration in the rate of low-level law violations.

Although the National Institute of Justice report recommends use of arrest data in operationalizing these concepts, the committee cautions that arrest may not be an accurate indicator of individual offending because of the potential biases in arrest data that were discussed in Chapter 2. Nevertheless, the concepts of deceleration, de-escalation, and cessation may also be measured using administrative data on criminal convictions, self-report survey data based on checklists and frequency counts of criminal activities within a time interval, and self-report data on both subjective desistance (e.g., "Compared to 5 years ago, do you now do more, less, or the same amount of these activities?") and reference group desistance (Uggen and Massoglia, 2007; Massoglia and Uggen, 2010). Some basic sample measures in each of these categories are included in Table 4-1 (included at the end of this chapter).

Historically, standard measures of both recidivism and desistance have typically been based on official statistics, which can provide some indication of the occurrence and relative frequency and severity of criminal events but also reflect criminal legal system activity. As described in Chapter 2, such official data are subject to known biases. Although self-reported information on the type, frequency, and severity of post-release criminal activity is more expensive to gather, it offers an important alternative to data derived from police, courts, and correctional agencies and officials (Farrington, 2007). Despite these advantages, self-report data also raise concerns about potential errors and biases, including those related to sampling, response rates, measurement, and differential validity across groups (see, e.g., Gomes et al., 2019; Junger-Tas and Marshall 1999). A smaller number of studies have examined "subjective desistance," based on measures of whether people believe they are engaging in more, less, or about the same amount of criminal activity relative to an earlier baseline period or a peer

Prepublication copy, uncorrected proofs 4 - 13

reference group (Massoglia and Uggen, 2007; 2010). Survey items and qualitative research based on such self-appraisals can provide a sensitive measure of whether people believe they are desisting from crime even when such changes are not reflected in official statistics.

International measurement efforts also offer some promise for the measurement of desistance. Recent research from the United Kingdom uses a "proxy measure of desistance" by measuring outcomes such as client engagement with services, changes in individual needs resulting from provided services, and "changes in well-being, agency, and relationships" (Wong and Horan, 2019, p. 7). Another group in the United Kingdom has focused on the measurement of "intermediate outcomes," which are defined as "measurable changes in individuals that are directly or indirectly associated with reductions in reoffending" (Maguire et al., 2019, p. 5). These outcomes are referred to as intermediate because they indicate positive changes that may reflect progress toward ceasing criminal behavior and eventually lead to the complete abandonment of criminal behavior, although individuals may not have completely ceased offending at the time of measurement (Burrowes et al., 2013). Maguire and colleagues (2019) developed a 29-item instrument, the Intermediate Outcomes Measurement Instrument (IOMI), to assess the impact of mentoring and arts interventions, but the instrument is likely applicable to a wider range of interventions. This tool aims to support service providers in evaluating their work with individuals under supervision.

The IOMI includes eight key dimensions (Maguire et al., 2019, p. 19): resilience, agency and self-efficacy, hope, well-being, motivation to change, impulsivity/problem-solving, interpersonal trust, and practical problems.

- *Resilience* refers to the ability to bounce back after exposure to adversity; this is similar to the adversarial growth narrative noted in the discourse of prisoners in France (Kazemian, 2020).
- *Agency/self-efficacy* measures an individual's ability to take control of one's own life, to make decisions, and to take action.
- *Hope* refers to a "calculation about perceived scope for positive future change" (Maguire et al., p. 19).
- *Well-being* assesses overall mental, emotional, and psychological health.
- *Motivation to change* reflects an internal desire to change, an increased engagement in interventions, and a reduced motivation to engage in offending.
- *Impulsivity/problem-solving* measures the ability to reflect, plan, and exercise self-control.
- *Interpersonal trust* is linked to the concept of social capital and indicates "positive attitudes toward and connectedness with others" (p. 19).
- *Practical problems* documents perceived problems in key areas such as housing, education, employment, substance use, financial situation, and family relationships.

While the IOMI is still in preliminary stages of development and requires more validity and reliability testing, it offers valuable guidance for efforts to measure key positive outcomes that are known to be linked to the process of desistance from crime.

> Prepublication copy, uncorrected proofs 4 - 14

Overall Health

In 1989, the RAND Corporation published the results of The Medical Outcomes Study, a multiyear, multisite study aimed at explaining variations in patient outcomes and developing new tools for monitoring patient health outcomes (Tarlov et al., 1989). Building on this work, RAND developed the 36-Item Short Form Health Survey (SF-36), a set of "generic, coherent, and easily administered quality-of-life measures," that rely on participant self-reporting (RAND Health Care). These measures have been widely used by health care organizations, in national population-based health studies, and in studies of incarcerated people. In particular, the singular question of the Medical Outcomes Study, which asks individuals to rate their perceived health into one of five categories ("excellent", "very good," "good", "fair", "poor"), has been studied extensively in various contexts and populations, and shown to be independently associated with morbidity, functional status, and mortality, even after controlling for key demographics such as socioeconomic status (Idler and Kasl, 1995; for more examples of self-reporting on overall health see Kaplan and Camacho, 1983; Manor, Matthews, and Power, 2001; Siegel, Bradley, and Kasl, 2003).

Self-reported metrics for specific physical health conditions (e.g., hypertension, diabetes, etc.) are best avoided given the potential of recall bias. For example, self-reported data across 12 European countries among working-age populations underestimated the prevalence of obesity by 4 percent among the total population; for men, self-reported data underestimated hypertension by 10 percent. Further, recall bias related to health varies by key demographic characteristics, such as sex, race and ethnicity, and education, which can thwart accurate measurements of health inequalities (Brusco and Watts, 2015; Dowd and Todd, 2011; Kislaya et al., 2019; Tolonen et al., 2014). Greater accuracy, instead, would be obtained using data from health systems with electronic health records (i.e., blood pressure measurement or prescription of antihypertension medication), more accurate than self-reported data on specific health conditions.

However, recognizing the challenges associated with accessing health records and that people released from prison often have limited health care access, several national health surveys include self-reported measures of specific physical health and mental health conditions that can be used in research, thereby providing a benchmark of study participants' responses with national rates (see Table 4-1). The National Survey on Drug Use and Health (NSDUH), a nationally representative, annual survey of approximately 70,000 individuals, includes self-reported questions about physical health, substance use, and mental health conditions, as well as a measure of criminal legal system contact (whether a person has been arrested or been on parole or probation in the last year). By using the same questions to measure health outcomes that NSDUH uses, researchers can then benchmark participants against a nationally representative population of people on community supervision. Survey questions from the Bureau of Justice Statistics' Survey of Prison Inmates and Survey of Inmates in Local Jails can also be used in this same way.

Mental Health and Substance Use Disorder

Given the high prevalence of mental health conditions and substance use disorder among criminal legal system-involved individuals, using specific mental health and substance use indicators that are short and widely used in non-incarcerated populations can complement measures of well-being and can be used in evaluating the success of specific interventions. For

Prepublication copy, uncorrected proofs 4 - 15

instance, the Patient Health Questionnaire-9 (PHQ-9) evaluates the severity of depression and has been used in studies of criminal legal system-involved individuals (Kroenke, Spitzer, and Williams, 2001). To measure post traumatic disorder and the severity of symptoms, the PTSD-Primary Care, a four-item screening tool, can be used to identify who is experiencing current symptoms as well as the worsening of symptomatology over time.

One challenge in defining success for people with substance use disorders returning from prison is identifying appropriate criteria for relapse. Any measure of relapse, if used, needs to be defined by clinical practice and based on the recognition that episodic use (lapse) is inherent to the illness and not pathologic or necessarily health-harming. While a positive drug screen is often used in studies as a measure of failure following prison release (and cause for reincarceration), addiction medicine experts, including the American Society of Addiction Medicine, do not define a single positive urine drug screen as pathologic or a treatment failure, but an expected consequence of a chronic health condition (Jarvis et al., 2017). Even in clinical practice, where urine drug screening is used to help guide treatment plans for either harm reduction or recovery, its use is of questionable value (Jarvis et al., 2017). Studies of licensed physicians with years of clinical training have found confusion about proper implementation and that misinterpretations of urine drug screening results are common (Ceasar et al., 2016; Chua et al., 2020). For these reasons, urine drug screening, which is currently used to surveil and sanction addiction relapse for those on probation and parole, is not an appropriate measure of success (or failure) by researchers or practitioners. Instead, reliance on self-reported measures of substance use that define success as *a progression of health-promoting behaviors over time* may be more reliable indicators of improvement and offer better insights for those making decisions about probation and parole supervision. For instance, a person who is using less and thus able to maintain better communication with their family or a person who has not overdosed in a year may be defined as "successful," when viewed in the context of that individual's past (see Table 4-1).

Engagement in Health Care

Success following release from incarceration can also be described by how and when individuals engage the health care system, especially for those with physical and mental health conditions. Consistent engagement of the health care system, whether for a chronic disease or in general, is potentially a metric of success following release. Examples of such measures are presented in Table 4-1. And for specific conditions, there are frameworks, or cascades of care, designed to measure successful engagement in the health care system, including an opioid treatment cascade, HIV treatment cascade, and now even a hepatitis C treatment cascade (Kay, Batey, and Mugavero, 2016; Williams et al., 2018; Yehia et al., 2014). Identifying the challenges encountered by patients at each stage of the cascade can target individual-level opportunities for support. In addition, when these measures are used in the health care system, they can also identify hospital-based and local policy interventions to improve individual treatment outcomes, track health-related progress, and reduce related diseases and deaths.

Housing and Homelessness

People with criminal records face significant barriers to housing. As discussed in Chapter 3, formerly incarcerated individuals experience high rates of housing instability and

Prepublication copy, uncorrected proofs 4 - 16

homelessness. Housing instability makes it difficult for those with incarceration histories to successfully reenter the community and gain stability, establish social networks, and avoid reengagement with the criminal legal system. Individuals without housing who are placed in group shelters can enter a cycle of incarceration, release to shelter, homelessness, and reincarceration. In large urban areas, the "prison to shelter" pipeline fuels chronic homelessness (Sirois, 2019). Thus, stable housing is a necessary component of post-release success, yet an individual's housing situation can be influenced by a wide variety of personal circumstances. Gaining stable housing is also dependent on structural issues that need to be taken into account when assessing housing stability, including discrimination by owners of rental housing, the lack of affordable housing in urban areas, and risks associated with living in high crime and poverty areas (Metraux, Hunt, and Yetvin, 2020).

Recent research on housing challenges among people released from prison point to a variety of possible housing-related metrics and measures of housing stability, which could be additional indicators of success. However, few of these studies have included measures of housing status as outcomes. One exception is a multi-site evaluation of housing programs for high-risk individuals, which found that the timing of achieving residential stability in the first weeks and months following release was important in achieving longer-term housing stability and preventing convictions and readmission to prison for new crimes (Lutze, Rosky, and Hamilton, 2014). This finding about the importance of housing stability shortly after release (i.e., in the first month) was also supported in an evaluation of the Fortune Society's reentry program (McDonald, Dyous, and Carlson, 2008).

In other housing demonstration programs, the receipt of temporary housing subsidies, housing vouchers, general rental assistance, or housing-related case management led to more successful outcomes for individuals leaving prison (Metraux, Hunt, and Yetvin, 2020). An untested but promising approach to improving housing stability for returning citizens is to provide support for their families in the form of rental assistance or other resources as part of a holistic reentry plan prior to discharge from prison. Living in a well-resourced household (i.e., stable employment of household members) can improve positive outcomes following release (Hamilton, Kigerl, and Hays, 2015; Harding et al., 2014; Sirois, 2019). One study in Ohio examined whether individuals lived with a parent, spouse, boyfriend/girlfriend, or other relative and found that individuals who lived with a spouse or parent had more positive outcomes (measured by felony arrest) than those in other living arrangements (Steiner, Makarios, and Travis, 2015). Thus, addressing housing issues faced by returning citizens can be a pathway to successful reentry (see Fontaine and Biess, 2012).

Given how limited the research on reentry and housing has been, future research in this area would benefit from attention to an individual's housing situation shortly after release, type of housing arrangement, whether housing is temporary (e.g., shelters, halfway house) or not, number of residential moves within a time period, receipt of housing subsidies or rental assistance, and the duration of any assistance. Subjective self-reported measures of housing status could be a plausible source of information (see Table 4-1). An individual's choice to reside in a supportive housing arrangement that combines rental assistance with onsite services, mental health or drug treatment, and case management could also be an indicator of progress towards desistance and community integration (see, Metraux, Hunt, and Yetvin, 2020). Data on housing can also include indicators of housing quality, affordability, and segregation by using links to residential zip codes. Such information is sometimes available in official sources, such as

Prepublication copy, uncorrected proofs 4 - 17 community supervision records. More research is needed to establish relationships between housing status and post-release success.

Employment and Job Retention

Employment is a core domain for overall reintegration and well-being, and recent efforts to assist men and women released from prison have heavily emphasized creating employment opportunities. Many such efforts aim to promote employment in the hope that it will also promote desistance, though relatively little is known about the extent to which, or the mechanism by which, post-release employment promotes desistance. In considering how employment is related to desistance following release from prison, Skardhamar and Savolainen (2014) found that most people with criminal histories had disengaged from crime before the transition to work, and that securing employment was not associated with further reductions in criminal behavior. Thus, the relationship between employment and desistance is complicated, as it may not be work alone but the social bonds formed at work that promote desistance (Sampson and Laub, 1990, p. 611). Moreover, employment programs that help individuals secure a job may not be helpful if individuals are not psychologically ready to give up criminal behavior (Lattimore and Visher, 2021; Muhlhausen, 2015). In short, finding a job may be a necessary but not sufficient condition for desistance.

For people recently released from prison or those who have recent criminal legal system involvement, finding a job is challenging, despite increased policy attention to reducing the likelihood of discrimination among these job seekers and new federal protections (U.S. Equal Employment Opportunity Commission, 2012). It is important to note that a person's "employability" potential may be preexisting to the period of incarceration. A sizeable minority of individuals leaving prison were unemployed prior to incarceration (Visher and La Vigne, 2021; Western, 2018). Because these individuals were often not sought after in the labor market before their imprisonment, it remains challenging to determine whether incarceration or preprison risk factors most influence post-release employment outcomes (Apel and Sweeten, 2010; Holzer, Raphael, and Stoll, 2003). In addition, among those who held jobs prior to confinement, the required skills may erode during a period of incarceration, and relationships with former employers are likely to be severed (Western, 2002).

Identifying the role employment plays in successful transitions begins with uncovering a broader range of employment-related measures as indicators of success following release from prison. The measurement of employment status among criminal legal system-involved individuals may account for the lack of positive findings about employment and success. Simply measuring employment status as present or absent is often not associated with reentry outcomes. In a longitudinal study of men released from prison in the Netherlands, Ramakers and colleagues (2017) found that it is not just employment but the quality of employment, especially perceived work conditions, that explains recidivism (measured by crimes officially registered) after release from prison. In particular, they found that both the subjective assessment of job quality and the distinction between primary and secondary sector jobs play a crucial role in explaining recidivism. Included in the secondary sector occupations are those filling manufacturing jobs, laborers, and unskilled service workers. Secondary sector occupations and employment are characterized by low wages, poor work conditions, and, most importantly, job instability, whereas the primary sector refers to employment with high wages, employment stability and security, and strong social relationships with others in the work force (Doeringer and Piore,

Prepublication copy, uncorrected proofs 4 - 18

1971). Moreover, Tripodi, Kim, and Bender (2010) found that obtaining employment is associated with increased time to reincarceration, thus indicating that employment may be part of a process of behavioral change that unfolds over time (Apel et al., 2006; Crutchfield and Pitchford, 1997; Uggen, 1999).

Employment for many criminal legal system-involved individuals is likely to be intermittent, at least initially. Many have never held a job for any length of time (Bushway and Apel, 2012; Western, 2018). Thus, measures of employment stability, such as length of time employed during a specific time frame, as well as length of time employed in a specific workplace, would be indicators of a successful transition. Among the unanswered questions is whether holding jobs with greater career potential or higher wages or better benefits leads to a greater likelihood of success. In fact, it is unknown whether actively looking for work is an indicator of progress toward successful reintegration, although such activity could provide a signal that individuals are intent on a transition to a prosocial identity (Bushway and Apel, 2012).

Alternative measures of employment need to be explored as possible indicators of progress toward successful reintegration and overall well-being. Promising metrics could include wage rates, job retention, number of hours worked per week, and measures of job quality, including type of job, career opportunities, and whether the job includes benefits (see Table 4-1). Official data sources for measures of employment status could include state unemployment records, records held by state employment counselors, and self-reported employment experiences, including job applications submitted and job interviews. Other subjective measures of employment and current work experience may provide greater insight into an individual's progress in connecting successfully to the labor market after incarceration (see Table 4-1). Where appropriate, individual employment indicators could be compared to various national data sources on labor force participation, but care needs to be taken in making sure comparisons are made with populations with similar employment and education backgrounds.

Educational Attainment

The incarcerated population has been referred to as the "most educationally disadvantaged population in the United States" (Klein et al., 2004). People in prison have much lower educational attainment than those in the general population (Harlow, 2003). Roughly 19 percent of adults outside of prison have not attained a high school diploma or equivalent, compared to 36 percent of individuals in state prisons who have not completed high school (Davis et al., 2013). Thus, it seems reasonable that improvements in educational attainment (acquiring GED, taking college courses, completing college degrees) could be an important marker of success among individuals released from prison. Because people who end up in prison often have low educational attainment, many of them access educational opportunities during their incarceration. However, there has been an appreciable decline in prison-based programs (National Research Council, 2008), which has resulted in a dearth of recent knowledge about how education affects desistance and reentry transitions for people leaving prison and returning to the community.

Despite reduced programming through the 1990s and 2000s, prison has become a place where many people increase their literacy levels, earn GEDs and, when possible, college degrees (Crayton and Neusteter, 2008; Harlow, 2003). Many studies have found that the more education people acquire while in prison, the less likely they are to recidivate (Chappell, 2003; Cleere,

Prepublication copy, uncorrected proofs 4 - 19

2013; Crayton and Neusteter, 2008; Harlow, 2003; Nuttall, Hollmen, and Staley, 2003), with often significant reductions for those who earn a GED (Macdonald and Bala, 1986; Nutall, Hollmen, Staley, 2003) or participate in post-secondary education toward achieving a college diploma (Anderson and Moore, 1995; Chappell, 2003; Denney and Tynes, 2021; Nuttall, Hollmen, Staley, 2003; Vacca, 2004). In a three-year study of GED completion for people released from prisons in New Jersey, about six in ten non-GED participants were rearrested once released, compared with about half of GED participants (Zgoba, Haugebrook, and Jenkins, 2008). The most recent systematic review of correctional education programs found promising evidence of the effectiveness of adult basic and postsecondary educational programs (Weisburd, Farrington, and Gill, 2017). Finally, the website CrimeSolutions,³⁷ which is funded and hosted by the U.S. Department of Justice, Office of Justice Programs, reports effectiveness ratings of crime prevention and rehabilitation programs. It rates adult basic education classes for incarcerated individuals as "promising" in reducing recidivism, and also "promising" in improving employment and job placement outcomes, although it calls for more rigorous studies of the relationship between education and individual outcomes.

Research on education and desistance is complicated by the fact that substantial selection effects are often not directly observed. That is, it may be that the individuals most likely to succeed are those who enroll and complete education courses in prison. In oral reports gathered during the committee's listening session, participants noted that educational opportunities influenced their transitions from prison and were important factors in their success. Similar to the role of employment in the desistance process, education may not be a self-defined 'turning point' but may instead be a critical component in the process of desistance from crime for some criminal legal system-involved individuals. Moreover, single (and binary) measures of educational attainment may obscure the full impact of educational engagement on post-release outcomes.

BOX 4-4 Listening Session: Education and Post-Release Success

One central theme of the committee's listening session with formerly incarcerated individuals was the importance of education in contributing to success after release. Walter Strauss, who became a New York Housing Court judge following his release from incarceration, credited his focus on getting an education with his success (Strauss, 2021). John Valverde, CEO of YouthBuild USA, agreed that education is "key to everything," (Valverde, 2021) and Jai Diamond of the New York City Criminal Justice Agency cited "education, a strong voice, and a strong mind" as her core needs to validate herself and "set the tone for the success I've found today" (Diamond, 2021). Kenneth Cooper of the Game Changers Reentry Program identified the day he began seeking education in prison as the day he became free, explaining, "I was in prison, confined, locked up, but I wasn't locked out" (Cooper, 2021).

END BOX

It is also difficult to untangle *how* prison education promotes desistance. Little is known about how people exiting prison use the education they have gained in prison to navigate the difficult terrain post-release, particularly how they deal with structural impediments. Education

Prepublication copy, uncorrected proofs 4 - 20

³⁷See <u>www.crimesolutions.ojp.gov.</u>

may propel individuals into a new trajectory, but documenting this process is difficult and more research in this area is needed. Runell (2015) conducted in-depth interviews with 34 criminal legal system-involved individuals who enrolled in a state university and found that postsecondary education increased the participants' social and academic networks. While participants expressed a desire to remain crime-free, some of them noted that they had not completely ruled out committing another crime. Most importantly, despite increases in education, their desistance pathways were affected by structural elements of neighborhood disadvantage (Runell, 2015).

As with other measures of success, improvement in educational attainment is best viewed as a process that individuals experience over some period of time. Measures of educational attainment can include improvement in literacy skills, participation in courses, whether during prison or in the community, enrollment in community college, progress towards a degree, and other educational milestones (see Table 4-1). Moreover, as with measures of employment, housing and health, indicators of educational attainment could be compared to various national data sources on education status, but care needs to be taken to ensure that comparisons are made among populations with similar backgrounds.

Social Relationships: Children, Families, Peer Support

Strong social relationships are an important component of a successful transition from prison to the community or after other criminal legal system involvement. For example, family support for criminal legal system-involved individuals, though it is largely invisible, can be critical to an individual's success. Individuals who receive financial or emotional support from their families experience reentry differently from people whose families are unable to help or are not active in their lives (Berg and Huebner, 2011; Harding et al., 2014; Pettus-Davis and Kennedy, 2020; Western, 2018). In addition, as mentioned in Chapter 3, support from persons who have had prior contact with the criminal legal system can provide much-needed assistance and understanding during the transition from prison to the community. Such peer support is rarely included in studies examining the experiences of justice-involved individuals. For individuals with children, commitment to being in their children's lives may also be a critical marker of a successful transition from prison (see Eddy and Poehlmann-Tynan, 2019). In studies of fathers returning from incarceration, an important element of their transition from prison to community was being physically and emotionally available to their children, making up for lost time, and wanting to repair broken bonds (e.g., Charles et al., 2021).

The measurement of social relationships as indicators of post-release success for individuals involved in the criminal legal system is clearly a new area for understanding desistance processes. A recent evaluation of a New York City program that aimed to improve relationships between formerly incarcerated fathers and their children noted that their research was hampered by how to quantify family reconnection (Tomberg et al., 2021). Research on family relationships, connections with children, and support received from peers and other formal or informal arrangements (i.e., faith communities, community groups) has included various measures of involvement and commitment as intermediate constructs in studying reintegration pathways and desistance. These measures and other subjective indicators of social relationships would also be useful as markers of progress and success (see Table 4-1)

Qualitative studies have made important contributions to our understanding of the role of relationships in criminal behavior and desistance, but more attention is needed to understand the

Prepublication copy, uncorrected proofs 4 - 21

ways in which families and other social relationships and related social capital help individuals succeed, including within the domains of healthcare, education, and employment. Going forward, studies need to include interviews not only with the returning individuals but also with those who are in their families, communities, and other social networks. Measures of successful relationships could be gathered through these interviews and self-reports of social relationships, such as strength of ties with family members, reduction in connections with people who are actively committing crime or using illegal drugs, and time spent in positive social interaction including with other community members with social capital (see Table 4-1). As with other indicators of success, building positive social relationships is likely to be a process that unfolds over many months as legal system–involved individuals create new social networks and re-establish relationships with family members.

Civic Engagement

Criminologists typically use the term "citizens" in opposition to people convicted of crime. Uggen and Manza (2005, 67) suggest that this usage places "criminals on one side of the ledger and law-abiding community residents on the other." Yet people with criminal records are commonly citizens themselves, occupying roles as taxpayers, homeowners, volunteers, and voters. In contrast to the large literatures on work and family reintegration, the subject of reintegration into community life and civic participation has received comparatively little attention. If desistance is only possible when people "develop a coherent pro-social identity for themselves," as Shadd Maruna (2001, p. 7) contends, then community involvement and democratic participation need to be among the markers of post-release success. Additionally, developing a self-concept as a pro-social conforming citizen may be a key mechanism linking adult work and family roles with desistance from crime (Massoglia and Uggen, 2010).

As discussed in Chapter 3, research is lacking on whether civic engagement affects the trajectory of people who have been discharged from prison, yet it is clear that behaviors such as voting are associated with subsequent desistance from crime (Uggen and Manza, 2004). Nevertheless, a significant number of people who are entangled in the criminal legal system are formally prohibited from being civically engaged (Uggen et al., 2020; Lageson, 2020), which suggests that measures of engagement need to include both individual-level indicators (e.g., whether an individual votes) and system-level indicators (e.g., whether individuals have the right to vote in a particular jurisdiction).

In view of these ideas, it seems plausible to suggest that voting, volunteering, and other forms of civic and political participation may be considered markers of success after release from prison. Some sample measures of these concepts are included in Table 4-1. To measure such engagement at the individual level, well-established indicators of political engagement include political participation or voter turnout, attendance at political events such as rallies and demonstrations, and conversations with friends and neighbors about political issues and events. Refined self-report indicators and scales are available in the American National Election Studies, and basic voter registration and participation information is publicly available in administrative data. The type and timing of volunteer service has also been measured through self-report items in studies such as Add-Health (Ranapurwala, Casteel, and Peek-Asa, 2016) and the Youth Development Study (Uggen and Janikula, 1999). More subjective measures include political efficacy and trust (Niemi, Craig, and Mattei, 1991) and the perceived importance of engagement in the community (Uggen, Manza, and Behrens, 2004). Apart from these individual-level items,

Prepublication copy, uncorrected proofs 4 - 22

system-level measures of civic engagement include turnout rates and legal restrictions on the right to vote, volunteer, or otherwise participate in civic life.

RESEARCH NEEDS

Measuring improvement for those leaving prison and other justice-involved individuals is a new area of study for corrections researchers. Methods to measure incremental success need to be developed, including considerations about appropriate time dimensions, sources of data, and community context. Importantly, most studies have not examined thoroughly how the specific time course following release affects success, especially whether the first few hours, days, and weeks following release are essential to success and how individuals thrive over the life course. The measurement of success regarding health conditions following release may need to be undertaken in a less regimented way than is typical of other research studies and evaluation efforts (such as with surveys scheduled one month, three months, etc., following release). Instead, measurement should employ methods adapted to the fact that post-release success is often dictated by the events immediately following release (Binswanger et al., 2007; Binswanger et al., 2012). More studies are using new methodologies and smart phones to capture events immediately following release, including ecological momentary assessment (EMA), which captures individuals' behaviors in real time. EMA has been used in the criminal legal system with high rates (>95 percent) of participation, and it has been used in substance use research with 75-90 percent compliance when incentives were used. Thus, while longitudinal data collection is much preferred over cross-sectional data collection, it may still be inadequate to observe important points for success following release without more flexible methodologies.

Further, employing a life-course perspective in defining success following release from prison enables a more complete understanding concerning which individual- and community-level factors, especially structural factors, support thriving and what their intergenerational impacts are on families and communities. Two salient examples from other fields are the High Scope Interventions, an early childhood education intervention, and the Nurse-Family Partnership, intensive support for women and children perinatally. These two intervention studies targeting individuals at high risk for incarceration or who have been incarcerated found that participants in the treatment group, along with their children, were less likely to be incarcerated (Eckenrode et al., 2010; Kitzman et al., 2019; Olds et al., 1997; Olds et al., 1998; Schweinhart and Weikart, 1997; Weikart, 1998). Success that is achieved and maintained over the course of one's life, even if it is decades after their last release, may be as valuable as success obtained within the first 20 months. A holistic conceptualization of success after incarceration includes one that measures and evaluates the success of other individuals not directly incarcerated but substantially impacted by the incarceration of their family and community members.

Research is also needed to consider how to establish improvement, which is likely to vary depending on the outcome measure. There are national baselines for some outcomes, such as mental health and substance use, but baseline data would need to be culturally specific. Moreover, there are significant social structure and context considerations for adopting benchmarks for education and employment among criminal legal system-involved individuals. As discussed in Chapter 3, there is strong evidence of racial, ethnic, gender, socioeconomic, and geographic inequities facing criminal legal system-involved individuals which need to be taken into account. For example, an appropriate benchmark for employment of individuals released

Prepublication copy, uncorrected proofs 4 - 23

from prison might be the age-specific labor force participation rate for individuals with a high school degree living in urban areas. Care should be taken in establishing appropriate benchmarks so that they reflect reasonable improvements over the period before incarceration but also reflect structural considerations based on population and geographic characteristics.

Supplemental measures of post-release success also lend themselves quite well to benefit/cost analysis. Improvements in health, education, housing, and employment for people returning from prison would impact the life course of hundreds of thousands of people a year. Measuring the direct and indirect financial benefits to local communities of those improvements would provide evidence of the significance of supplementing official measures of recidivism with other measures of success. This would be a new area of research that could enhance our understanding of success as it is experienced by individuals during the months and years following release from prison.

This chapter has repeatedly mentioned the lack of sufficient administrative and statistical data to measure various forms of success experienced by people returning from prison. The lack of such quantitative data on measures of success limits our understanding of success and failure, as researchers usually fall back on inadequate measures of official recidivism based on recontact with the criminal legal system. The use of qualitative interview data can capture more detailed and nuanced information about success, particularly with respect to identity change, self-perception, and progress toward social reintegration. Indeed, these data are valuable because they elevate the perspectives of people who are experiencing these difficult transitions. We can gather qualitative data about "the strengths, skills, responsibilities, talents of people and how are they experiencing return [to the community] in terms of belonging and being valued members of the community" along with the "density and quality of people's social networks and degree to which they reintegrate people back into society" (Braucht, 2021).

Interviews can capture not just the presence or absence of a program but whether it matches participants' needs (Good Collins, 2021). Qualitative data can help us examine outcomes like stable relationships. Such data can also help investigators and policy makers understand not just who did not have further contact with the legal system, but why, and it can help capture the various stories of successful people (Lewis, 2021). Qualitative and ethnographic researchers who participated in the committee's information-gathering sessions—Jerry Flores (University of Toronto), Andrea Leverentz (University of Massachusetts, Boston), and Reuben Miller (University of Chicago)³⁸—shared examples of situations where individuals they interviewed or observed made decisions that led them to be considered recidivists. The examples, including missing drug tests or appointments with a parole officer or accepting a plea deal that seemed avoidable, reflected these people's complicated relationships with agents of the criminal legal system rather than new criminal activity. The use of self-report interview or survey data to measure post-release improvements, progress, and success has notable strengths but, as discussed in Chapter 2, these data may also have weaknesses. Thus, research is needed to develop reliable and valid indicators of the possible metrics of success discussed in this chapter.

At the system level, an overhaul of data systems is needed so that indicators of success and reintegration are more readily available. Work from Hennepin County, Minnesota, illuminates how creating data linkages between correctional systems, health systems, and other state-run social services can be immediately useful to both practitioners and policy makers

³⁸See Committee on Evaluating Success Among People Released from Prison Meeting #2, Session 1: <u>https://www.nationalacademies.org/event/07-27-2021/evaluating-success-among-people-released-from-prison-meeting-2-public-information-gathering-session-1</u>.

(Bodurtha et al., 2017). After merging data from four public sectors (health care, human services, housing, and the criminal legal system) for 98,282 Medicaid expansion enrollees in Hennepin County, researchers found that urban Medicaid expansion enrollees in the county had rates of emergency room use and hospitalization three times higher than the national average and had significant contact with housing shelters or supportive housing (13 percent of enrollees), the criminal legal system (34 percent), and the social service sector, including monetary and food support and case management (68 percent). In follow-up work, researchers have provided a more concise and nuanced examination of cross-sector patterns of use and used latent class analysis to identify patterns of cross-sector involvement, inclusive of the criminal legal system. These analyses illustrate the possibility of cross-sector data linkages to identify how the needs of criminal legal system- involved individuals are or are not being met by other sectors of local government and the social safety net system, recognizing that success after prison release depends on the availability of resources and services from various sectors (Andersen, 2020).

Further, documenting unmet needs creates systems of accountability within the local government that can be rapidly addressed. This cross-sector approach may offer an effective and efficient mechanism to improve success following release by highlighting system deficiencies and strengths within communities. Although some organizations now utilize integrated cross-sector data for evaluation, these data have not been extensively leveraged to explore the comprehensive network of public sector interactions for the justice-involved population.

An example of this approach might employ hierarchical models, a statistical model in which individuals are sorted under a hierarchy of successively higher-level units, in this case the community in which they are released. These models challenge traditional analyses that assume individual choice and behaviors are the sole causes of success following prison release. Hierarchical models require larger multisite studies and would incorporate a diverse set of communities to which people return, so that the clustering within communities can be taken into account in statistical analyses. Conducting larger-scale studies would provide richer and more accurate data on the efficacy of interventions-recognizing that people return home to communities that are diverse in resources and assets. Such studies would illuminate the complex and interconnected nature of various components of policy and social life that affect success following release, in all domains. As Jessica Simes (2021, p. 155) states, studying community context "necessitates a direct engagement between quantitative and qualitative scholars and takes seriously the nested scales of both place (neighborhoods, cities, regions) and punishment (police precincts, court districts, prison jurisdictions)." Where multisite studies are not possible, investigators need to recognize this as a limitation and, at the very least, first consider how the community in which the study is being conducted may be the primary determinant of whether the intervention is or is not successful or whether the location of the study itself is compromising the possibility of scientific discovery.

The ability to measure success following release from prison, and especially the ability to measure the community contexts to which people return, is hindered by data silos and restrictive data-sharing practices across criminal legal institutions. Few police departments routinely share information disaggregated by census tracts or by even smaller areas, like the block or longitude/latitude coordinates of stops and arrests, though many departments use such data in the practice of predictive policing. Even more challenging is accessing geographically disaggregated data from courts, prisons, jails, and probation and parole agencies. Disciplinary differences have created academic research siloes, such that criminologists, for instance, often do not collect the most relevant and up-to-date health data, while health and public health colleagues would benefit

Prepublication copy, uncorrected proofs 4 - 25

from the expertise and data of sociologists, geographers, and environmental scientists in their attempts to study community-level phenomena. Synthesizing research efforts and data collections and sharing strategies for data gathering, analyses, and dissemination, while maintaining the highest ethical standards regarding public data sharing, are critical to moving this new science forward.

CONCLUSION

The foregoing review and discussion of alternative measures for assessing individual success and well-being after release from prison demonstrates that successful reintegration involves much more than what is conveyed through common measures of recidivism. Official recidivism measures such as rearrest, reconviction, and reincarceration are highly imperfect measures of criminal behavior and completely ignore improvements in multiple life domains that are central to successful reintegration and progress in an individual's life after imprisonment. Using person-centered, supplementary measures of success enables us to better understand what factors are important for success from the individual's perspective and avoid misinterpreting behavior in a way that could lead to misinformed and even harmful policy. A noted example is reincarceration for a technical violation of parole, such as a missed appointment, which then creates a major setback for the individual who otherwise may be making progress towards reintegration. Thus, the measurement of post-release success needs to be multidimensional, using both subjective and administrative data sources.

Whereas Chapter 3 of this report documented the existence of community-level and policy barriers to post-release success, this chapter proposed potential methods to account for those structural barriers in measuring success. As discussed here, the measurement of improvement, progress, and success for justice-involved individuals could benefit from a framework similar to that exemplified by the social determinants of health literature, which recognizes that multiple, overlapping factors influence individual outcomes, such as an individual's housing and neighborhood environment, employment and education status, civic engagement, and social relationships. We have also underlined the importance of sharing data across different life domains and of attending to the unique experiences of historically marginalized groups in evaluating success. Finally, this chapter has emphasized that individual outcomes are located within a community and societal context that includes substantial structural inequities which may affect individual transitions from prison to the community. The development and testing of new outcome and progress measures would benefit from measurement of these system inequalities.

Notable roadblocks remain that could undermine these promising methods for improving the measure of post-release success. Most prominently, they include the inability to link data across agencies and policy domains, lack of standard demographic, social, economic, and legal data to be collected by agencies, and the difficulty of sharing data across jurisdictions.

The potential impact of such improvements in measurement is significant. Research on the effectiveness of correctional programming and reentry programs has been hampered by several methodological issues, including an almost singular focus on narrow measures of official recidivism as the outcome measure. Measuring desistance and reintegration through the narrow lens of recontact with the criminal legal system is likely to undervalue the impacts of reentry programs and miss indicators of incremental progress, including changes in housing stability, job retention, or educational advancement, as indicated by participants in the committee's listening

> Prepublication copy, uncorrected proofs 4 - 26

session. Research establishing relationships between non-criminal-justice outcomes and reductions in criminal behavior could give policy makers the confidence to focus on those outcomes as a way to influence criminal behavior.

Domain	Subjective Measures	Objective Measures	Notes
Overall Well-being	Cantril Self-Anchoring Striving Scale 100 Million Healthier Lives* Well-being in the Nation*	N/A	*Includes the individual domains of physical health, mental health, spiritual support, financial support, and social support
Health			
Overall Health	MOS-1 In general, would you say your health is (Excellent, Very Good, Good, Fair, Poor)	N/A	
Mental Health Disorder	Depression, PHQ-9 or PHQ-2; Post-Traumatic Stress Disorder, PTSD-PC	Can be ascertained with ICD10 codes and pharmacy records in electronic health records or administrative claims	
Substance Use Disorder	Addiction Severity Index Subjective self-report item: Compared to 1 year ago (or other time frame), do you engage in more, less, or about the same amount of alcohol and substance use?	Can be ascertained with ICD10 codes and pharmacy records in electronic health records or administrative claims	Avoid using urine drug screen as singular measure of relapse
Engagement in Health Care	Is there a place that {you/SP} usually {go/goes} when {you are/he/she is} sick or	Administrative claims data, All-payor databases. ICD10 codes also enable measurement of emergency department visits or	Measures drawn from the National Health Interview Survey, National Health and Nutrition Examination Survey, and CDC Hospital

Individual Laval Maguras

The Limits of Recidivism: Measuring Success After Prison

	a	· · · · · · · · · · · · · · · · · · ·
<pre>{you/s/he} need{s} advice about {your/his/her} health? {What kind of place is it—a clinic, doctor's office, emergency room, or some other place?} {What kind of place {do you/does SP} go to most often—a clinic, doctor's office, emergency room, or some other place?}</pre>	hospitalizations that are preventable with engagement in primary care (PQI)	Utilization and Access to Care Questionnaire
{During the past 12 months, how/How} many times {have you/has SP} seen a doctor or other health care professional about {your/his/her} health at a doctor's office, a clinic or some other place? Do not include times {you were/s/he was} hospitalized overnight, visits to hospital emergency rooms, home visits or telephone calls.		
{During the past 12 months, were you/{was} SP} a patient in a hospital overnight? Do not include an overnight stay in the emergency room.		
How many different times did {you/SP} stay in any hospital overnight or longer {during the past 12 months}? (Do not count total number of nights, just total number of hospital admissions for stays which lasted 1 or more nights.)		
During the past 12 months, that is since {CURRENT MONTH} of {LAST YEAR}, {have you/has SP} seen or talked		

	to a mental health professional such as a psychologist, psychiatrist, psychiatric nurse or clinical social worker about {your/his/her} health?		
Housing and Homelessness	Item: Do you feel safe in your current housing?Item: Are you satisfied with your living arrangement?Item: Are you living in a private residence?Item: Are you living in a household with employed adults?Item: Do you expect to move within the next month?Item: How long do you expect to live where you are now?	From supervision records: Number of residential moves in specific time period Number of moves to institutional housing in specific time period Housing location (zip code)	Proposed items are suggestions and would need to be validated.
Employment and Job Retention	Item: Are you employed?Item: Is this a job you wish to keep?Item: Is your salary on this job enough to make ends meet?Item: How satisfied are you with your wage?Item: Are you learning new skills on this job?Item: How easy is it for you to get to and from work?	Type of employment (FT, PT, occasional)Seasonality of employmentNumber of hours worked per weekWork schedule (regular 9-5 job, irregular schedule)Hourly wage or annual salaryNature of pay (paycheck versus cash)	Proposed items are suggestions and would need to be validated.

	Item: How long do you think you will stay at this job?Item: Do you go to work after drinking alcohol or using drugs?Item: Do you get along with your boss and co-workers?Item: Have you experienced any unfair treatment because of your criminal record?Item: Are there career opportunities with this job?	Type of work (codes for industry [NAICS] and occupation [SOC]) Class of worker (private company, government, self- employment, family business) List of benefits attached to job, if any Length of time at current job Distance between residence	
	Item: Do you have any flexibility in your work schedule?	Job satisfaction measures Method of obtaining the job (application process, length of time searched, how found out about the job) If not employed, job search activity	
Educational Attainment	Item: Do you desire additional education? Item: Have you asked for assistance in locating additional education options? Item: Has someone helped you complete the necessary paperwork to engage in additional education? (i.e., FAFSA and application)	If no HS diploma, enrollment in GED/TASC prep course Completion of GED/TASC Completion of practice exams if no HS diploma Enrollment in certification course	Proposed items are suggestions and would need to be validated.

	Item: Have you received assistance in securing funds needed to engage in additional education? Item: Is educational tutoring and/or mentoring available to you?	Completion of certification course Enrollment in course(s) for college credit Number of courses completed Attainment of degree (AA, BA, MA, PhD) Number of certifications or degrees earned	
Social Relationships	Item: Do you feel close to your family? Item: Do you have friends you can go to if you need help? Item: Would a friend or family member loan you money if you needed it? Item: Do you have support from a faith community? Item: If you have children, how often do you play a caregiving or parental role? Item: What frequency of contact do you have with your children? Degree of connection to others for social support Receiving peer support Involvement in peer support groups	Medical Outcomes Study social support survey Positive Social Engagement—5 Key Model Positive Relationships – 5 Key Model Engagement with children (scale) Responsible fathering (scale) Parent contact with children (scale)	Proposed items are suggestions and would need to be validated.

Civic Engagement	INDIVIDUAL-LEVEL ITEMS	INDIVIDUAL-LEVEL ITEMS	Proposed items are suggestions and would
	Item: Individual-level political efficacy (e.g., belief that "people like me have no say" about the government; "you get nowhere talking to public officials")	Item: Political participation (turnout), gathered through self-reports and/or administrative records	need to be validated.
	Item: Individual-level importance of engagement in the community	Item: Volunteering; type and time commitment to volunteer service	
		Item: Attending rallies, demonstrations, and other political events	
		Item: Political engagement (self-reports of talking with partners, friends, and relatives about politics)	
		SYSTEM-LEVEL ITEMS	
		Legal restrictions on right to vote or other collateral sanctions limiting civic participation at the federal, state, and municipal level	
		Political participation (turnout) rates	
Neighborhood Context	Item: Do you feel safe in your neighborhood?	Residential Zip code	Zip code data enables measurement of neighborhood context:

	Item: Is public transportation available in your neighborhood?		area deprivation index, census tract measures, housing segregation. Individual items would need to be validated.
Desistance	Subjective self-report item: Compared to 5 years ago, do you now do more, less, or about the same amount of these activities? Reference group self-report item: Compared to other people (your age; in your neighborhood; who have been to prison) do you think you do more, less, or about the same amount of these activities?	Self-reported item: Deceleration (of number activities reported in time interval) Self-reported item: De- escalation in the severity level of offense categories (e.g., movement away from violent activities) Self-reported item: Cessation of criminal activity within broad offense categories over a follow-up period Administrative data item: Deceleration in rate of convictions in time interval Administrative data item: De- escalation in the severity level of convictions Administrative data item: Cessation or absence of criminal convictions over a follow-up period	Proposed items are suggestions and would need to be validated.

SOURCE: Table derived from analysis and discussion of the committee as a whole. See "notes" column for references to specific existing instruments and direct sources.

REFERENCES

- Agan, A., and Starr, S. (2018). Ban the box, criminal records, and racial discrimination: A field experiment. *The Quarterly Journal of Economics* 133, 1, 191-235.
- Anderson, S.V., and Moore, E.A. (1995). Evaluation of the impact of correctional education programs on recidivism. Columbus, OH: Ohio Department of Rehabilitation and Correction, Bureau of Planning and Evaluation.
- Andersen, T.S., Scott, D.A.I., Boehme, H.M., King, S., and Mikell, T. (2020). What matters to formerly incarcerated men? Looking beyond recidivism as a measure of successful reintegration. *The Prison Journal* 100, 4, 488-509.
- Andrews, D.A., Zinger, I., Hoge, R.D., Bonta, J., Gendreau, P., and Cullen, F.T. (1990). Does correctional treatment work? A clinically relevant and psychologically informed metaanalysis. *Criminology* 28, 3, 369-404.
- Apel, R., Paternoster, R., Bushway, S.D., and Brame, R. (2006). A job isn't just a job: The differential impact of formal versus informal work on adolescent problem behavior. *Crime and Delinquency* 52, 2, 333-369.
- Apel, R., and Sweeten, G. (2010). The impact of incarceration on employment during the transition to adulthood. *Social Problems* 57, 3, 448-479.
- Arora, A., Spatz, E., Herrin, J., Riley, C., Roy, B., Kell, K., Coberley, C., Rula, E., and Krumholz, H.M. (2016). Population well-being measures help explain geographic disparities in life expectancy at the county level. *Health Affairs* 35, 11, 2075-2082.
- Ashley, O.S., Marsden, M.E., and Brady, T.M. (2003). Effectiveness of substance abuse treatment programming for women: A review. *The American Journal of Drug and Alcohol Abuse* 29, 1, 19-53.
- Bachman, R., Kerrison, E., O'Connell, D., and Paternoster, R. (2013). *Roads diverge: Long-term patterns of relapse, recidivism and desistance for a cohort of drug-involved offenders.* Washington, DC: National Institute of Justice, United States Department of Justice.
- Bachman, R., Kerrison, E.M., Paternoster, R., Smith, L., and O'Connell. (2016). The complex relationship between motherhood and desistance. *Women and Criminal Justice* 26, 212– 231.
- Bailey, Z.D., Feldman, J.M., and Bassett, M.T. (2021). How structural racism works—racist policies as a root cause of US racial health inequities. *New England Journal of Medicine* 384, 8, 768-773.
- Bailey, Z.D., Krieger, N., Agénor, M., Graves, J., Linos, N., and Bassett, M.T. (2017). Structural racism and health inequities in the USA: Evidence and interventions. *The Lancet* 389, 10077, 1453-1463.
- Barrick, K., Lattimore, P.K., and Visher, C.A. (2014). Reentering women: The impact of social ties on long-term recidivism. *The Prison Journal* 94, 279-304.
- Bazemore, G., and Stinchcomb, J. (2004). A civic engagement model of reentry: Involving community through service and restorative justice. *Federal Probation* 68, 14.
- Belknap, J. (2007). *The Invisible Woman: Gender, Crime and Justice* (3rd ed.). Belmont, CA: Thomson Wadsworth.
- Berg, M.T., and Huebner, B.M. (2011). Reentry and the ties that bind: An examination of social ties, employment, and recidivism. *Justice Quarterly* 28, 2, 382-410.

Prepublication copy, uncorrected proofs 4 - 36

Bergseth, K.J., Jens, K.R., Bergeron-Vigwesaa, L., and McDonald, T.D. (2011). Assessing the needs of women recently released from prison. *Women and Criminal Justice* 21, 100-122.

Berman, J. (2005). Women Offender Transition and Reentry: Gender Responsive Approaches to Transitioning Women Offenders From Prison to the Community. Washington, DC: U.S. Department of Justice, National Institute of Corrections.

Binswanger, I.A., Nowels, C., Corsi, K.F., Glanz, J., Long, J., Booth, R.E., and Steiner, J.F. (2012). Return to drug use and overdose after release from prison: A qualitative study of risk and protective factors. *Addiction Science and Clinical Practice* 7, 1, 1-9.

Binswanger, I.A., Stern, M.F., Deyo, R.A., Heagerty, P.J., Cheadle, A., Elmore, J.G., and Koepsell, T.D. (2007). Release from prison—A high risk of death for former inmates. *New England Journal of Medicine* 356, 2, 157-165.

Bitney, K., Drake, E., Grice, J., Hirsch, M., and Lee, S. (2017). The Effectiveness of Reentry Programs for Incarcerated Persons: Findings for the Washington Statewide Reentry Council (17-05-1901). Olympia: Washington State Institute for Public Policy.

Blokland, A.A., and Nieuwbeerta, P. (2005). The effects of life circumstances on longitudinal trajectories of offending. *Criminology* 43, 4, 1203-1240.

Bloom, B., Owen, B., and Covington, S. (2006). Gender responsive strategies: Theory, policy, guiding principles and practice. In R. Immarigeon (Ed.), *Women and Girls in the Criminal Justice System: Policy Issues and Practices*, 1–21. Kingston, NJ: Civic Research Institute.

Boardman, J., Grove, B., Perkins, R., and Shepherd, G. (2003). Work and employment for people with psychiatric disabilities. *The British Journal of Psychiatry* 82, 467-468.

Bodurtha, P., Van Siclen, R., Erickson, E., Legler, M., Thornquist, L., Vickery, K.D.,
 Winkelman, T., Hougham, C., and Owen, R. (2017). Cross-sector Service Use and Costs
 Among Medicaid Expansion Enrollees in Minnesota's Hennepin County. Center for
 Health Care Strategies.

Bohmert, M. (2016). The role of transportation disadvantage for women on community supervision. *Criminal Justice and Behavior* 43, 1522-1540.

Boyd, R.W., Lindo, E.G., Weeks, L.D., and McLemore, M.R. (2020). On racism: A new standard for publishing on racial health inequities. *Health Affairs Blog* 10, 10.1377.

Braucht, G. (2021, July 28). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, D.C.: National Academies of Sciences, Engineering, and Medicine.

Brayne, S. (2014). Surveillance and system avoidance: Criminal justice contact and institutional attachement. *American Sociological Review* 79, 3, 367-391.

Brusco, N.K., and Watts, J.J. (2015). Empirical evidence of recall bias for primary health care visits. *BMC Health Services Research* 15, 1, 1-8.

Bucklen, K.B. (2021, October 25). *Desistance-Focused Criminal Justice Practice*. Washington DC: National Institute of Justice. <u>https://nij.ojp.gov/topics/articles/desistance-focused-criminal-justice-practice</u>.

Burrowes, N., Disley, E., Liddle, M., Maguire, M., Rubin, J., Taylor, J., and Wright, S. (2013). Intermediate Outcomes of Arts Projects: A Rapid Evidence Assessment. National Offender Management Services.

Burton, S. (2021, July 28). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, D.C.: National Academies of Sciences, Engineering, and Medicine.

> Prepublication copy, uncorrected proofs 4 - 37

- Bushway, S.D., and Apel, R. (2012). A signaling perspective on employment-based reentry programming: Training completion as a desistance signal. *Criminology and Public Policy* 11, 1, 21-50.
- Butts, J.A., and Schiraldi, V. (2018). *Recidivism Reconsidered: Preserving the Community Justice Mission of Community Corrections*. Executive Session on Community Corrections. Harvard University, Kennedy School of Government.
- Byrne, J.M. (2019). The Effectiveness of Prison Programming: A Review of the Research Literature Examining the Impact of Federal, State, and Local Inmate Programming on Post-Release Recidivism. First Step Act Independent Review Committee. <u>https://www.uscourts.gov/federal-probation-journal/2020/06/effectiveness-prison-programming-review-research-literature</u>.
- Carnegie, J. (2007). *Driver's License Suspensions, Impacts and Fairness Study*. New Brunswick, NJ: Alan M. Voorhees Transportation Center, Rutgers University.
- Carnevale, A.P., Smith, N., and Strohl, J. (2013). *Recovery: Projections of Jobs and Education Requirements Through 2020.* Georgetown Public Policy Institute, Center on Education and the Workforce. <u>https://cew.georgetown.edu/cew-reports/recovery-job-growth-and-</u> education-requirements-through-2020/#resources.
- Ceasar, R., Chang, J., Zamora, K., Hurstak, E., Kushel, M., Miaskowski, C., and Knight, K. (2016). Primary care providers' experiences with urine toxicology tests to manage prescription opioid misuse and substance use among chronic noncancer pain patients in safety net health care settings. *Substance Abuse* 37, 1, 154-160.
- Chambers, B.D., Erausquin, J.T., Tanner, A.E., Nichols, T.R., and Brown-Jeffy, S. (2018). Testing the association between traditional and novel indicators of county-level structural racism and birth outcomes among Black and White women. *Journal of Racial and Ethnic Health Disparities* 5, 5, 966-977.
- Chappell, C.A. (2003). Post-secondary Correctional Education and Recidivism: A Meta-Analysis of Research Conducted 1990–1999. University of Cincinnati.
- Charles, P., Frankham, E., Visher, C.A., and Kay, A.L. (2021). Father involvement in the first year after prison: Considerations for social work intervention research. *Research on Social Work Practice* 31, 8, 797–813.
- Chen, E.Y., and Meyer, S. (2020) Beyond recidivism: Towards accurate, meaningful, and comprehensive data collection on the progress of individuals reentering society. In A. Leverentz, E.Y. Chen, and J. Christian (Eds.), *Beyond Recidivism: New Approaches to Research on Prisoner Reentry and Reintegration*, pp. 13-38. New York University Press.
- Chua, I., Petrides, A.K., Schiff, G.D., Ransohoff, J.R., Kantartjis, M., Streid, J., Demetriou, C.A., and Melanson, S.E. (2020). Provider misinterpretation, documentation, and follow-up of definitive urine drug testing results. *Journal of General Internal Medicine* 35, 1, 283-290.
- Churchwell, K., Elkind, M.S., Benjamin, R.M., Carson, A.P., Chang, E.K., Lawrence, W., Mills, A., Odom, T.M., Rodriguez, C.J., Rodriguez, F., and Sanchez, E. (2020). Call to action: Structural racism as a fundamental driver of health disparities: A presidential advisory from the American Heart Association. *Circulation* 142, 24, e454-e468.
- Cleere, G. (2013). *Prison Education, Social Capital and Desistance: An Exploration of Prisoners' Experiences in Ireland* (Doctoral dissertation). Waterford Institute of Technology.

- Clone, S., and DeHart, D. (2014). Social support networks of incarcerated women: Types of support, sources of support, and implications for reentry. *Journal of Offender Rehabilitation* 53, 503–521.
- Cobbina, J.E., Huebner, B.M., and Berg, M.T. (2012). Men, women, and post-release offending: An examination of the nature of the link between relational ties and recidivism. *Crime and Delinquency* 58, 331–361.
- Cobbina, J.E., Morash, M., Kashy, D.A., and Smith, S.W. (2014). Race, neighborhood danger, and coping strategies among female probationers and parolees. *Race and Justice* 4, 3-28.
- Cooper, K. (2021, July 27). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, DC: National Academies of Sciences, Engineering, and Medicine.
- Copp, J.E., Giordano, P.C., Longmore, M.A., and Manning, W.D. (2020). Desistance from crime during the transition to adulthood: The influence of parents, peers, and shifts in identity. *Journal of Research in Crime and Delinquency*, 57, 3, 294-332.
- Covington, S.S. (1998). Women in prison: Approaches in the treatment of our most invisible population. *Women and Therapy Journal* 21, 141-155.
- Crayton, A., and Neusteter, S.R. (2008). *The Current State of Correctional Education: Paper to be presented at the Reentry Roundtable on Education.* John Jay College of Criminal Justice, Prisoner Reentry Institute.
- Crittenden, C.A. and Koons-Witt, B.A. (2017). Gender and programming: A comparison of program availability and participation in US prisons. *International Journal of Offender Therapy and Comparative Criminology* 61, 6, 611-644. <u>https://www.prisonlegalnews.org/media/publications/pri_crayton_state_of_correctional_e</u> <u>ducation.pdf</u>
- Crutchfield, R.D., and Pitchford, S.R. (1997). Work and crime: The effects of labor stratification. *Social Forces* 76, 1, 93-118.
- Cullen, F.T., and Gendreau, P. (2000). Assessing correctional rehabilitation: Policy, practice, and prospects. *Criminal Justice* 3, 1, 299-370.
- Cullen, F.T., and Gendreau, P. (2001). From nothing works to what works: Changing professional ideology in the 21st century. *The Prison Journal* 81, 3, 313-338.
- Cullen, F., and Gilbert, K. (2012). Reaffirming Rehabilitation. Routledge.
- Cullen, F.T., Pratt, T., and Turanovic, J. (2017). The failure of swift, certain, and fair supervision: Choosing a more hopeful future. *Perspectives* 41, 66-78.
- D'Amico, R., and Kim, H. (2016). An Evaluation of Seven Second Chance Act Adult Demonstration Programs: Impact Findings at 18 months. Social Policy Research Associates.
- Davis, L.M., Bozick, R., Steele, J.L., Saunders, J., and Miles, J.N. (2013). Evaluating the Effectiveness of Correctional Education: A Meta-Analysis of Programs That Provide Education to Incarcerated Adults. RAND Corporation.
- Denney, M.G.T., and Tynes, R. (2021). The effects of college in prison and policy implications. *Justice Quarterly* 38, 7, 1542-1566.
- Diamond, J. (2021, July 27). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, DC: National Academies of Sciences, Engineering, and Medicine.
- Doeringer, P.B., and Piore, M.J. (1971). *Internal Labor Markets and Manpower Analysis*. Lexington, MA: DC Heath.

Doleac, J.L. (2018). Strategies to productively reincorporate the formerly incarcerated into communities: A review of the literature. *SSRN*. <u>http://dx.doi.org/10.2139/ssrn.3198112</u>.

- Doleac, J.L. (2019). Wrap-around services don't improve prisoner reentry outcomes. *Journal of Policy Analysis and Management* 38, 2, 508-514.
- Dowd, J.B., and Todd, M. (2011). Does self-reported health bias the measurement of health inequalities in US adults? Evidence using anchoring vignettes from the Health and Retirement Study. *Journals of Gerontology Series B: Psychological Sciences and Social Sciences* 66, 4, 478-489.
- Duwe, G. (2018). The effects of the timing and dosage of correctional programming on recidivism. *Journal of Offender Rehabilitation* 57, 3-4, 256-271.
- Eckenrode, J., Campa, M., Luckey, D.W., Henderson, C.R., Cole, R., Kitzman, H., Anson, E., Sidora-Arcoleo, K., Powers, J., and Olds, D. (2010). Long-term effects of prenatal and infancy nurse home visitation on the life course of youths: 19-year follow-up of a randomized trial. *Archives of Pediatrics and Adolescent Medicine* 164, 1, 9-15.
- Eddy, J.M., and Poehlmann-Tynan, J. (Eds.) (2019). In *Handbook on Children With Incarcerated Parents: Research, Policy, and Practice*, second edition. Springer Nature.
- Enos, S. (2001). *Mothering from the Inside: Parenting in a Women's Prison*. Albany: State University of New York Press.
- Farrington, D.P., 2007. Advancing knowledge about desistance. *Journal of Contemporary Criminal Justice* 23, 1, pp.125-134.
- Flavin, J. (2004). Employment counseling, housing assistance . . . and Aunt Yolanda? How strengthening families' social capital can reduce recidivism. *Criminology and Public Policy* 3, 209-216.
- Fontaine, J., and Biess, J. (2012). *Housing as a Platform for Formerly Incarcerated Persons*. Washington, DC: Urban Institute.
- Fox, K.J. (2010). Second chances: A comparison of civic engagement in offender reentry programs. *Criminal Justice Review* 35, 3, 335-353.
- Freeman, R.B. (1994). *Crime and the Job Market*. Working paper 4910. Washington, DC: National Bureau of Economic Research. DOI 10.3386/w4910.
- Gaes, G.G., and Camp, S.D. (2009). Unintended consequences: Experimental evidence for the criminogenic effect of prison security level placement on post-release recidivism. *Journal of Experimental Criminology* 5, 2, 139-162.
- Gee, G.C., Walsemann, K.M., and Brondolo, E. (2012). A life course perspective on how racism may be related to health inequities. *American Journal of Public Health* 102, 5, 967-974.
- Giordano, P.C., Cernkovich, S.A., and Rudolph, J.L. (2002). Gender, crime, and desistance: Toward a theory of cognitive transformation. *American Journal of Sociology* 107, 990– 1106.
- Glaze, L. and Maruschak, L. (2016). *Parents in Prison and Their Minor Children*. NCJ 222984. Washington, DC: U.S. Department of Justice, Bureau of Justice Statistics.
- Gomes, H.S., Farrington, D.P., Maia, A., and Krohn, M.D. (2019). Measurement bias in selfreports of offending: A systematic review of experiments. *Journal of Experimental Criminology*. 15, 313-339. https://doi.org/10.1007/s11292-019-09379-w.
- Good Collins, D. (2021, July 28). Remarks made before Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, DC: National Academies of Sciences, Engineering, and Medicine.

- Grogger, J. (1995). The effect of arrests on the employment and earnings of young men. *The Quarterly Journal of Economics* 110, 1, 51-71.
- Gustafson, K. (2009). The criminalization of poverty. *The Journal of Criminal Law and Criminology* 99, 3, 643-716.
- Hall, T.L., Wooten, N.R., and Lundgren, L.M. (2016). Postincarceration policies and prisoner reentry: Implications for policies and programs aimed at reducing recidivism and poverty. *Journal of Poverty* 20, 1, 56-72.
- Hamilton, Z., Kigerl, A., and Hays, Z. (2015). Removing release impediments and reducing correctional costs: Evaluation of Washington State's housing voucher program. *Justice Quarterly* 32, 2, 255-287.
- Hamilton-Smith, G.P., and Vogel, M. (2012). The violence of voicelessness: The impact of felony disenfranchisement on recidivism. *Berkeley La Raza Law Journal* 22, 407.
- Harada, M.Y., Lara-Millán, A., and Chalwell, L.E. (2021). Policed patients: How the presence of law enforcement in the emergency department impacts medical care. *Annals of Emergency Medicine* 78 (6): 738-748
- Harding, D.J., Morenoff, J.D., and Wyse, J.J. (2019). *On the Outside*. Chicago: University of Chicago Press.
- Harding, D.J., Wyse, J.J., Dobson, C., and Morenoff, J.D. (2014). Making ends meet after prison. *Journal of Policy Analysis and Management* 33, 2, 440-470.
- Harlow, C.W. (2003). *Education and Correctional Populations*. Bureau of Justice Statistics Special Report. Washington, DC: U.S. Department of Justice.
- Hawks, L., Lopoo, E., Puglisi, L., Wang, E. (2021). Towards a New Framework for Achieving Decarceration: A Review of the Research on Social Investments. The Square One Project, Executive Session on the Future of Justice Policy. https://squareoneiustice.org/paper/anew/framework/forachievingdecarceration/
 - https://squareonejustice.org/paper/anewframeworkforachievingdecarceration/.
- Haywood, T.W., Kravitz, H.M., Goldman, L.B., and Freeman, A. (2000). Characteristics of women in jail and treatment orientations. *Behavior Modification* 24, 3, 307.
- He, Q., and Barkowski, S. (2020). The effect of health insurance on crime: Evidence from the Affordable Care Act Medicaid expansion. *Health Economics* 29, 3, 261-277.
- Holzer, H.J. (1996). *What Employers Want: Job Prospects for Less-Educated Workers*. Russell Sage Foundation. <u>http://www.jstor.org/stable/10.7758/9781610442954</u>.
- Holzer, H.J., Raphael, S., and Stoll, M.A. (2003). Employment barriers facing exoffenders. Washington, DC: Urban Institute Reentry Roundtable, 1-23.
- Holzer H.J., Raphael, S., and Stoll M.A. (2006) Perceived criminality, criminal background checks, and the racial hiring practices of employers. *Journal of Law and Economics* 49, 2, 451–480.
- Hoover, H. (2021). *Civil Rights Restoration and Recidivism. SSRN*, 3773572. https://dx.doi.org/10.2139/ssrn.3773572.
- Howell, B.A., Wang, E.A., and Winkelman, T.N. (2019). Mental health treatment among individuals involved in the criminal justice system after implementation of the Affordable Care Act. *Psychiatric Services* 70, 9, 765-771.
- Hu, J., Kind, A.J., and Nerenz, D. (2018). Area deprivation index predicts readmission risk at an urban teaching hospital. *American Journal of Medical Quality* 33, 5, 493-501.
- Hyatt, J.M., Andersen, S.N., and Chanenson, S.L. (2020). Turner, J and Knight, V, eds. Prison cells as a grounded embodiment of penal ideologies: A Norwegian-American comparison. In *The Prison Cell*, pp. 45-70. Palgrave Macmillan, Cham.

- Idler, E.L. and Kasl, S.V. (1995). Self-ratings of health: Do they also predict change in functional ability? *The Journals of Gerontology Series B: Psychological Sciences and Social Sciences* 50, 6, S344-S353.
- Irwin, J. (1970). The Felon. Berkeley, CA: The University of California Press.
- Israel, B.A., Schulz, A.J., Parker, E.A. and Becker, A.B. (1998). Review of community-based research: Assessing partnership approaches to improve public health. *Annual Review of Public Health* 19, 1, 173-202.
- Jarvis, M., Williams, J., Hurford, M., Lindsay, D., Lincoln, P., Giles, L., Luongo, P., and Safarian, T. (2017). Appropriate use of drug testing in clinical addiction medicine. *Journal of Addiction Medicine* 11, 3, 163-173.
- Jones-Tapia, N. (2021, July 28). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, DC: National Academies of Sciences, Engineering, and Medicine.
- Jonson, C.L., and Cullen, F.T. (2015). Prisoner reentry programs. *Crime and Justice* 44, 1, 517-575.
- Joudrey, P.J., Edelman, E.J., and Wang, E.A. (2019). Drive times to opioid treatment programs in urban and rural counties in 5 US states. *JAMA* 322, 13, 1310-1312.
- Junger-Tas, J., and Marshall, I.H. (1999). *The Self-Report Methodology in Crime Research*. U.S. Department of Justice, Office of Justice Programs, Bureau of Justice Statistics, NCJ 178734.
- Kaplan, G.A., and Camacho, T. (1983). Perceived health and mortality: A nine-year follow-up of the human population laboratory cohort. *American Journal of Epidemiology* 117, 3, 292-304.
- Kay, E.S., Batey, D.S., and Mugavero, M.J. (2016). The HIV treatment cascade and care continuum: Updates, goals, and recommendations for the future. *AIDS Research and Therapy* 13, 1, 1-7.
- Kazemian, L. (2020). Positive Growth and Redemption in Prison: Finding Light Behind Bars and Beyond. Abingdon, Oxon: Routledge.
- Kazemian, L. (2021). Evaluating Success Among People Released from Prison: Lessons from Abroad. Paper presented to the Committee on Evaluating Success Among People Release from Prison. Washington DC: National Academies of Sciences, Engineering, and Medicine.
- Kind, A.J.H., and Buckingham, W. (2018). Making neighborhood disadvantage metrics accessible: The neighborhood atlas. *New England Journal of Medicine* 378, 2456-2458. DOI: 10.1056/NEJMp1802313.
- Kind, A.J., Jencks, S., Brock, J., Yu, M., Bartels, C., Ehlenbach, W., Greenberg, C., and Smith, M. (2014). Neighborhood socioeconomic disadvantage and 30-day rehospitalization: A retrospective cohort study. *Annals of Internal Medicine* 161, 11, 765-774.
- Kislaya, I., Tolonen, H., Rodrigues, A.P., Barreto, M., Gil, A.P., Gaio, V., Namorado, S., Santos, A.J., Dias, C.M., and Nunes, B. (2019). Differential self-report error by socioeconomic status in hypertension and hypercholesterolemia: INSEF 2015 study. *European Journal* of Public Health 29, 2, 273-278.
- Kitzman, H., Olds, D.L., Knudtson, M.D., Cole, R., Anson, E., Smith, J.A., Fishbein, D.,
 DiClemente, R., Wingood, G., Caliendo, A.M., and Hopfer, C. (2019). Prenatal and
 infancy nurse home visiting and 18-year outcomes of a randomized trial. *Pediatrics* 144, 6.

- Klein, S., Tolbert, M., Bugarin, R., Cataldi, E.F., and Tauschek, G. (2004). *Correctional Education: Assessing the Status of Prison Programs and Information Needs*. Office of Safe and Drug-Free Schools, U.S. Department of Education.
- Kling, J.R. (2006). Incarceration length, employment, and earnings. *American Economic Review* 96, 3, 863-876.
- Krawczyk, N., Schneider, K.E., Eisenberg, M.D., Richards, T.M., Ferris, L., Mojtabai, R., Stuart, E.A., Lyons, B.C., Jackson, K., Weiner, J.P., and Saloner, B. (2020). Opioid overdose death following criminal justice involvement: Linking statewide corrections and hospital databases to detect individuals at highest risk. *Drug and Alcohol Dependence* 213, 107997.
- Krieger, N. (2000). Refiguring "race": Epidemiology, racialized biology, and biological expressions of race relations. *International Journal of Health Services* 30, 1, 211-216.
- Kroenke, K., Spitzer, R.L., and Williams, J.B. (2001). The PHQ-9: Validity of a brief depression severity measure. *Journal of General Internal Medicine* 16, 9, 606-613.
- Kubrin, C., Squires, G.D., and Stewart, E. (2007). Neighborhoods, race, and recidivism: the community reoffending nexus and its implications for African Americans. *Sage Race Relations Abstracts* 32, 7-37. https://ssrn.com/abstract=2028179.
- Kubrin, C.E., and Stewart, E.A. (2006). Predicting who reoffends: The neglected role of neighborhood context in recidivism studies. *Criminology* 44, 1, 165-197.
- Kubrin, C.E., and Weitzer, R. (2003) New directions in social disorganization theory. *Journal of Research in Crime and Delinquency* 40, 374–402.
- Lacoe, J., and Betesh, H. (2018). Supporting Reentry Employment and Success: A Summary of the Evidence for Adults and Young Adults. Mathematica Policy Research. https://ideas.repec.org/p/mpr/mprres/26ce465de8784278a4bf28545a1a870a.html.
- Lageson, S.E. (2020). *Digital punishment: Privacy, Stigma, and the Harms of Data-Driven Criminal Justice.* Oxford University Press.
- Latessa, E.J., Johnson, S.L., and Koetzle, D. (2020). *What Works (and Doesn't) in Reducing Recidivism*. Routledge.
- Lattimore, P. (2020). Considering program evaluation: Thoughts from SVORI (and other) evaluations. *Rethinking Reentry*. Washington, DC: American Enterprise Institute.
- Lattimore, P.K., Dawes, D., and Barrick, K. (2018). *Desistance from Crime over the Life Course*. Washington, DC: National Institute of Justice.
- Lattimore, P.K., MacKenzie, D.L., Zajac, G., Dawes, D., Arsenault, E., and Tueller, S. (2016). Outcome findings from the HOPE demonstration field experiment: Is swift, certain, and fair an effective supervision strategy? *Criminology and Public Policy* 15, 4, 1103-1141.
- Lattimore, P., and Visher, C. (2021). Considerations on the multi-site evaluation of the serious and violent offender reentry initiative. In P.K. Lattimore, B.M. Huebner, and F.S. Taxman (Eds.), *Handbook on Moving Corrections and Sentencing Forward: Building on the Record*, pp. 312-335. New York, NY: Routledge.
- Lattimore, P., Visher, C., and Steffey, D. (2010). Prisoner Reentry in the Decade of the Twenty-First Century. *Victims and Offenders* 5, 1, 1-15.
- La Vigne, N., Davies, E., Palmer, T., and Halberstadt, R. (2008). Release planning for successful reentry. *A Guide for Corrections, Service Providers, and Community Groups*. Washington, DC: Urban Institute, Justice Policy Center. https://core.ac.uk/download/pdf/71348466.pdf.

- LeBel, T.P., Burnett, R., Maruna, S., and Bushway, S. (2008). The 'chicken and egg' of subjective and social factors in desistance from crime. *European Journal of Criminology* 5, 2, 131-159.
- Lewis, S. (2021, July 28). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, D.C.: National Academies of Sciences, Engineering, and Medicine.
- Lindquist, C.H., Willison, J.B., and Lattimore, P.K. (2021). Key findings and implications of the cross-site evaluation of the bureau of justice assistance FY 2011 Second Chance Act adult offender reentry demonstration projects. In P.K. Lattimore, B.M. Huebner, and F.S. Taxman (Eds.), *Handbook on Moving Corrections and Sentencing Forward: Building on the Record*, 336-358. New York, NY: Routledge.
- Link, B.G., and Phelan, J. (1995). Social conditions as fundamental causes of disease. *Journal of Health and Social Behavior, Extra Issue: Forty Years of Medical Sociology: The State of the Art and Directions for the Future*, 80-94.
- Liu, L. (2020a). Family, parochial, and public levels of social control and recidivism: An extension of the systemic model of social disorganization. *Crime and Delinquency* 66, 6-7, 864-886.
- Liu, L. (2020b). Parole, neighborhood and reentry outcomes: A contextualized analysis. *International Journal of Offender Therapy and Comparative Criminology* 65, 6-7, 741-762.
- Liu, L., Visher, C.A., and Sun, D. (2021). Do released prisoners' perceptions of neighborhood condition affect reentry outcomes? *Criminal Justice Policy Review* 32, 7, 764-789.
- Liu, L., Visher C.A., O'Connell D.J., Sun D. (2021). When post-incarcerated individuals return to high-risk neighborhoods: Staying out of trouble, social withdrawal, and mental health. *Crime & Delinquency*. December 2021.
- Ludwig, J., Sanbonmatsu, L., Gennetian, L., Adam, E., Duncan, G.J., Katz, L.F., Kessler, R.C., Kling, J.R., Lindau, S.T., Whitaker, R.C., and McDade, T.W. (2011). Neighborhoods, obesity, and diabetes—A randomized social experiment. *New England Journal of Medicine* 365, 16, 1509-1519.
- Lutze, F.E., Rosky, J.W., and Hamilton, Z.K. (2014). Homelessness and reentry: A multisite outcome evaluation of Washington State's reentry housing program for high-risk offenders. *Criminal Justice and Behavior* 41, 4, 471-491.
- Macdonald, D.G., and Bala, G. (1986). Follow-up Study of a Sample of Offenders Who Earned High School Equivalency Diplomas While Incarcerated. State of New York, Department of Correctional Services, Division of Program Planning, Research and Evaluation.
- MacKenzie, D.L. (2006). What Works in Corrections: Reducing the Criminal Activities of Offenders and Deliquents. Cambridge University Press.
- Maguire, M., Disley, E., Liddle, M., Meek, R., and Burrowes, N. (2019) *Developing a Toolkit to Measure Intermediate Outcomes to Reduce Reoffending from Arts and Mentoring Interventions*. London: Ministry of Justice.
- Mallik-Kane, K., Visher, C.A. (2008). *Health and Prisoner Reentry: How Physical, Mental, and Substance Abuse Conditions Shape the Process of Reintegration*. Washington, DC: Urban Institute, Justice Policy Center.
- Manor, O., Matthews, S., and Power, C. (2001). Self-rated health and limiting longstanding illness: Inter-relationships with morbidity in early adulthood. *International Journal of Epidemiology* 30, 3, 600-607.

- Manza, J., and Uggen, C. (2006). Locked Out: Felon Disenfranchisement and American Democracy. Oxford, UK: Oxford University Press.
- Maruna, S. (2001). *Making Good: How Ex-Convicts Reform and Rebuild Their Lives*. Washington, DC: American Psychological Association.
- Maruschak, L., and Berzofsky, M. (2015). *Medical Problems of State and Federal Prisoners and Jail Inmates*, 2011-12. Washington, DC: U.S. Department of Justice, Office of Justice Programs, BJS special report.
- Maruschak, L., and Bronson, J. (2021). *Parents in Prison and Their Minor Children*. Washington, D.C.: Bureau of Justice Statistics.
- Massoglia, M., and Pridemore, W.A. (2015). Incarceration and health. *Annual Review of Sociology* 41, 291-310.
- Massoglia, M., and Uggen, C. (2007). Subjective desistance and the transition to adulthood. *Journal of Contemporary Criminal Justice* 23, 1, 90-103.
- Massoglia, M., and Uggen, C. (2010). Settling down and aging out: Toward an interactionist theory of desistance and the transition to adulthood. *American Journal of Sociology* 116, 543-82.
- McDonald, D., Dyous, C., and Carlson, K.E. (2008). *The Effectiveness of Prisoner Reentry* Services as Crime Control: The Fortune Society. Abt Associates, Incorporated.
- Mears, D.P., and Bales, W.D. (2009). Supermax incarceration and recidivism. *Criminology* 47, 4, 1131-1166.
- Metraux, S., Hunt, D., and Yetvin, W. (2020). Criminal Justice Reentry and Homelessness. Cambridge, MA: Abt Associates.
- Miller, R.J. (2021). *Halfway Home: Race, Punishment, and the Afterlife of Mass Incarceration*. New York: Little, Brown and Company.
- Moore, K.E., Roberts, W., Reid, H.H., Smith, K.M., Oberleitner, L.M., and McKee, S.A. (2019). Effectiveness of medication assisted treatment for opioid use in prison and jail settings: A meta-analysis and systematic review. *Journal of Substance Abuse Treatment* 99, 32-43.
- Morash, M. (2010). *Women on Probation and Parole*. Boston, MA: Northeastern University Press.
- Morash, M., Haarr, R. N., and Rucker, L. (1994). A comparison of programming for women and men in U.S. prisons in the 1980s. *Crime and Delinquency* 40, 197-221.
- Muhlhausen, D. (2015). *Studies Cast Doubt on Effectiveness of Prisoner Reentry Programs*. The Heritage Foundation, Crime and Justice.
- National Academies of Sciences, Engineering, and Medicine. (2017). *Improving Collection of Indicators of Criminal Justice System Involvement in Population Health Data Programs: Proceedings of a Workshop*. Washington, DC: The National Academies Press. <u>https://doi.org/10.17226/24633</u>.
- National Employment Law Project. (2017). "Ban the Box" Is a Fair Chance for Workers with Records. Fact Sheet. <u>https://www.nelp.org/publication/ban-box-fair-chance-workers-records/</u>.
- National Research Council. (2008). Parole, Desistance from Crime, and Community Integration. Washington, DC: The National Academies Press. <u>https://doi.org/10.17226/11988</u>
- National Research Council. (2014). *The Growth of Incarceration in the United States: Exploring Causes and Consequences*. Washington, DC: The National Academies Press. https://doi.org/10.17226/18613

- Nelson, K. (2021, July 27). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison, Meeting #2: Public Information Gathering Session. Washington, D.C.: National Academies of Sciences, Engineering, and Medicine.
- Niemi, R.G., Craig, S.C., and Mattei, F. (1991). Measuring internal political efficacy in the 1988 National Election Study. *American Political Science Review* 85, 4, 1407–1413. http://doi.org/10.2307/1963953
- Nuttall, J., Hollmen, L., and Staley, E.M. (2003). The effect of earning a GED on recidivism rates. *Journal of Correctional Education* 54, 3, 90-94.
- Olds, D.L., Eckenrode, J., Henderson, C.R., Kitzman, H., Powers, J., Cole, R., Sidora, K., Morris, P., Pettitt, L.M., and Luckey, D. (1997). Long-term effects of home visitation on maternal life course and child abuse and neglect: Fifteen-year follow-up of a randomized trial. *Journal of the American Medical Association* 278, 8, 637-643.
- Olds, D., Henderson, Jr., C.R., Cole, R., Eckenrode, J., Kitzman, H., Luckey, D., Pettitt, L., Sidora, K., Morris, P., and Powers, J. (1998). Long-term effects of nurse home visitation on children's criminal and antisocial behavior: 15-year follow-up of a randomized controlled trial. *Journal of the American Medical Association* 280, 14, 1238-1244.
- Ortiz, J.M., and Jackey, H. (2019). The system is not broken, it is intentional: The prison reentry industry as deliberate structural violence. *The Prison Journal* 99, 4, 484-503.
- Pager, D., Bonikowski, B., and Western, B. (2009). Discrimination in a low-wage labor market: A field experiment. *American Sociological Review* 74, 5, 777-799.
- Pager, D., Western, B., and Sugie, N. (2009). Sequencing disadvantage: Barriers to employment facing young black and white men with criminal records. *The Annals of the American Academy of Political and Social Science* 623, 1, 195-213.
- Paikowsky, D. (2019). Jails as polling places: Living up to the obligation to enfranchise the voters we jail. *Harvard Civil Rights-Civil Liberties Law Review* 54, 2, 829–873.
- Paternoster, R., Bachman, R., Bushway, S., Kerrison, E., and O'Connell, D. (2015). Human agency and explanations of criminal desistance: Arguments for a rational choice theory. *Journal of Developmental and Life-Course Criminology* 1, 3, 209-235.
- Paternoster, R., Bachman, R., Kerrison, E., O'Connell, D., and Smith, L. (2016). Desistance from crime and identity: An empirical test with survival time. *Criminal Justice and Behavior* 43, 9, 1204-1224.
- Paternoster, R., and Bushway, S. (2009). Desistance and the "feared self": Toward an identity theory of criminal desistance. *The Journal of Criminal Law and Criminology* 99, 4, 1103-1156.
- Pelissier, B., and Jones, N. (2006). Differences in motivation, coping style, and self-efficacy among incarcerated male and female drug users. *Journal of Substance Abuse Treatment* 30, 2, 113-120.
- Pettus-Davis, C., and Kennedy, S. (2020). Early lessons from the multistate study of the 5-key model for reentry. *Perspectives: The Journal of the American Probation and Parole Association* 44, 19-31.
- Pettus-Davis, C., and Veeh, C. (2021). Associations Between Well-Being and Reincarceration: Early Data on the 5-Key Model. Florida State University, Institute for Justice Research and Development.

https://ijrd.csw.fsu.edu/sites/g/files/upcbnu1766/files/Publications/Associations_between _Well-Being_and_Incarceration.pdf

> Prepublication copy, uncorrected proofs 4 - 46

- Phelps, M.S. (2011). Rehabilitation in the punitive era: The gap between rhetoric and reality in US prison programs. *Law and Society Review* 45, 1, 33-68.
- Pinard, M. (2010). Collateral consequences of criminal convictions: Confronting issues of race and dignity. *New York University Law Review* 85, 457.
- Polaschek, D.L. (2012). An appraisal of the risk-need-responsivity (RNR) model of offender rehabilitation and its application in correctional treatment. *Legal and Criminological Psychology* 17, 1, 1-17.
- Powell, W.R., Buckingham, W.R., Larson, J.L., Vilen, L., Yu, M., Salamat, M.S., Bendlin, B.B., Rissman, R.A., and Kind, A.J. (2020). Association of neighborhood-level disadvantage with Alzheimer disease neuropathology. *JAMA Network Open* 3, 6, e207559-e207559.
- Puglisi, L. (2021, July 28). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, DC: National Academies of Sciences, Engineering, and Medicine.
- Puglisi, L., Halberstam, A.A., Aminawung, J., Gallagher, C., Gonsalves, L., Schulman-Green, D., Lin, H.J., Metha, R., Mun, S., Oladeru, O.T., and Gross, C. (2021). Incarceration and Cancer-Related Outcomes (ICRO) study protocol: Using a mixed-methods approach to investigate the role of incarceration on cancer incidence, mortality and quality of care. *British Medical Journal (open)* 11, 5, e048863.
- Ranapurwala, S.I., Casteel, C., and Peek-Asa, C. (2016). Volunteering in adolescence and young adulthood crime involvement: A longitudinal analysis from the add health study. *Injury Epidemiology* 3, 26. https://doi.org/10.1186/s40621-016-0091-6.
- RAND, 36-Item Short Forum Survey (SF-36). Available https://www.rand.org/healthcare/surveys tools/mos/36-item-short-form.html
- Ramakers, A., Nieuwbeerta, P., Van Wilsem, J., and Dirkzwager, A. (2017). Not just any job will do: A study on employment characteristics and recidivism risks after release. *International Journal of Offender Therapy and Comparative Criminology* 61, 16, 1795-1818.
- Rice, D. (2021, July 28). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, DC: National Academies of Sciences, Engineering, and Medicine.
- Richie, B. (2001). Challenges incarcerated women face as they return to their communities: Findings from life history interviews. *Crime and Delinquency* 47, 368-389.
- Rodriguez, M.N. (2016). 'Ban the Box'is a Fair Chance for Workers with Records. Washington, DC: National Employment Law Project.
- Rodriguez, M.N., and Emsellem, M. (2016). 65 Million "Need Not Apply": The Case for Reforming Criminal Background Checks for Employment. National Employment Law Project.
- Runell, L.L. (2015). Life-course engagement in crime, post-secondary education and desistance for formerly incarcerated college students (Doctoral dissertation). Newark, NJ: Rutgers University Graduate School.
- Salholz, E., and Wright, L. (1990). Women in jail: Unequal justice. Newsweek. June 3, 37-38, 51.
- Salisbury, E.J., and Voorhis, P.V. (2009). Gendered pathways: A quantitative investigation of women probationers' paths to incarceration. *Criminal Justice and Behavior* 36, 541-566.
- Salisbury, E.J., Van Voorhis, P., and Spiropoulos, G. (2009). The predictive validity of a genderresponsive needs assessment: An exploratory study. *Crime and Delinquency* 55, 550-585.

- Saloner, B., Bandara, S.N., McGinty, E.E., and Barry, C.L. (2016). Justice-involved adults with substance use disorders: Coverage increased but rates of treatment did not in 2014. *Health Affairs* 35, 6, 1058-1066.
- Sampson, R.J., and Laub, J.H. (1990). Crime and deviance over the life course: The salience of adult social bonds. *American Sociological Review* 55, 609-627.
- Sampson, R.J., and Laub, J.H. (1993). Crime in the Making: Pathways and Turning Points through Life. Harvard University Press.
- Sampson, R.J., and Laub, J.H. (2003). Desistance from crime over the life course. In *Handbook* of the Life Course, pp. 295-309. Mortimer, J.T. and Shanahan, M.J. eds. Springer, Boston, MA.
- Schroeder, R.D., Giordano, P.C., and Cernkovich, S.A. (2007). Drug use and desistance processes. *Criminology* 45, 1, 191-222.
- Schweinhart, L.J., and Weikart, D.P. (1997). The High/Scope preschool curriculum comparison study through age 23. *Early Childhood Research Quarterly* 12, 2, 117-143.
- Scott, C., and Dennis, M. (2012). The first 90 days following release from jail: Findings from the Recovery Management Checkups for Women Offenders (RMCWO) experiment. *Drug* and Alcohol Dependence 125, 1-2, 110-118.
- Shavit, S., Aminawung, J.A., Birnbaum, N., Greenberg, S., Berthold, T., Fishman, A., Busch, S.H., and Wang, E.A. (2017). Transitions clinic network: Challenges and lessons in primary care for people released from prison. *Health Affairs* 36, 6, 1006-1015.
- Sherbourne, C.D., and Stewart, A.L. (1991). The MOS social support survey. *Social Science and Medicine* 32, 6, 705-714.
- Sherman, L.W., Farrington, D.P., Welsh, B.C., and MacKenzie, D.L. (Eds.). (2006). *Evidence-based Crime Prevention*, 2nd Edition. New York: Routledge.
- Sherman, L.W., Gottfredson, D.C., MacKenzie, D.L., Eck, J., Reuter, P., and Bushway, S. (1997). Preventing Crime: What Works, What Doesn't, What's Promising: A Report to the United States Congress. Washington, DC: National Institute of Justice.
- Siegel, M., Bradley, E.H., and Kasl, S.V. (2003). Self-rated life expectancy as a predictor of mortality: Evidence from the HRS and AHEAD surveys. *Gerontology* 49, 4, 265-271.
- Simes, J.T. (2019). Place after prison: Neighborhood attainment and attachment during reentry. Journal of Urban Affairs 41, 4, 443-463. DOI: 10.1080/07352166.2018.1495041.
- Simes, J.T. (2021). Vulnerable Places: Entire communities are singularly exposed to punishment. Understanding how is key to combating mass incarceration. *Inquest.* https://inquest.org/vulnerable-places/.
- Sirois, C. (2019). Household support and social integration in the year after prison. *Sociological Forum* 34, 4, 838-860.
- Skardhamar, T., and Savolainen, J. (2014). Changes in criminal offending around the time of job entry: A study of employment and desistance. *Criminology* 52, 2, 263-291.
- Skeem, J.L., Louden, J.E., Manchak, S., Vidal, S., and Haddad, E. (2009). Social networks and social control of probationers with co-occurring mental and substance abuse problems. *Law and Human Behavior* 33, 122-135.
- Skogan, W.G. (1992). Disorder and Decline: Crime and the Spiral of Decay in American Neighborhoods. University of California Press.
- Slocum, L.A., Simpson, S., and Smith, D.A. (2005). Strained lives and crime: Examining intraindividual variation in strain and offending in a sample of incarcerated women. *Criminology* 43, 1067–1110.

- Staton-Tindall, M., Royse, D., and Leukfeld, C. (2007). Substance abuse criminality, and social support: An exploratory analysis with incarcerated women. *The American Journal of Drug and Alcohol Abuse* 33, 237–243.
- Steiner, B., Makarios, M.D., and Travis III, L.F. (2015). Examining the effects of residential situations and residential mobility on offender recidivism. *Crime and Delinquency* 61, 3, 375-401.
- Stiefel, M.C., Riley, C.L., Roy, B., Ramaswamy, R., and Stout, S. (2016). 100 Million Healthier Lives Measurement System: Progress to Date. 100 Million Healthier Lives Metrics Development Team Report. Cambridge, MA: Institute for Healthcare Improvement.
- Strauss, W. (2021, July 27). Remarks made to the Comittee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, DC: National Academies of Sciences, Engineering, and Medicine.
- Sullivan, E., Mino, M., Nelson, K., and Pope, J. (2002). *Families as a Resource in Recovery From Drug Abuse: An Evaluation of La Bodega de la Familia*. New York, NY: Vera Institute of Justice.
- Sundaresh, R., Yi, Y., Harvey, T.D., Roy, B., Riley, C., Lee, H., Wildeman, C., and Wang, E.A. (2021). Exposure to family member incarceration and adult well-being in the United States. *Journal of the American Medical Association (network open)* 4, 5, e2111821e2111821.
- Sundaresh, R., Yi, Y., Roy, B., Riley, C., Wildeman, C., and Wang, E.A. (2020). Exposure to the US criminal legal system and well-being: A 2018 cross-sectional study. *American Journal of Public Health* 110, S1, S116-S122.
- Swanson, S.J., Langfitt-Reese, S., and Bond, G.R. (2012). Employer attitudes about criminal justice history. *Psychiatric Rehabilitation Journal* 35, 385–390.
- Tarlov, A.R., Ware, J.E., Greenfield, S., Nelson, E.C., Perrin, E., and Zubkoff, M. (1989). The Medical Outcomes Study: An application of methods for monitoring the results of medical care. *Journal of the American Medical Association* 262, 7, 925-930.
- Taylor, C.J. (2020). Beyond recidivism: An outcome evaluation of a federal reentry court and a critical discussion of outcomes that matter. *Justice Evaluation Journal* 3, 2, 134-154.
- Toch, H., Adams, K., and Grant J. (1989). *Coping: Maladaptation in the Prison*. New Brunswick, NJ: Transaction Books.
- Tolonen, H., Koponen, P., Mindell, J.S., Männistö, S., Giampaoli, S., Dias, C.M., Tuovinen, T., Göβwald, A., and Kuulasmaa, K. (2014). Under-estimation of obesity, hypertension and high cholesterol by self-reported data: Comparison of self-reported information and objective measures from health examination surveys. *The European Journal of Public Health* 24, 6, 941-948.
- Tomberg, K.A., Moreno, G., Cobar, P., and Alexander, N. (2021). *Returning Home: A Descriptive Evaluation of Prepare in New York City.* New York, NY: Research and Evaluation Center, John Jay College of Criminal Justice, City University of New York. https://johnjayrec.nyc/2021/10/11/osborne_prepare/.
- Tripodi, S.J., Kim, J.S., and Bender, K. (2010). Is employment associated with reduced recidivism? The complex relationship between employment and crime. *International Journal of Offender Therapy and Comparative Criminology* 54, 5, 706-720.
- Uggen, C. (1999). Ex-offenders and the conformist alternative: A job quality model of work and crime. *Social Problems* 46, 1, 127-151.

- Uggen, C., and Inderbitzin, M. (2010). Public criminologies. *Criminology and Public Policy* 9, 4, 725-749.
- Uggen, C., and Janikula, J. (1999). Volunteerism and arrest in the transition to adulthood. *Social Forces* 78, 331-62.
- Uggen, C., Larson, R., Shannon, S., and Pulido-Nava, A. (2020). Locked Out 2020: Estimates of People Denied Voting Rights Due to a Felony Conviction. The Sentencing Project. <u>https://www.sentencingproject.org/publications/locked-out-2020-estimates-of-people-</u> denied-voting-rights-due-to-a-felony-conviction/.
- Uggen, C., and Manza, J. (2004). Voting and subsequent crime and arrest: Evidence from a community sample. *Columbia Human Rights Law Review* 36, 193-215.
- Uggen, C., and Manza, J. (2005). Disenfranchisement and the civic reintegration of convicted felons. In C. Mele and T.A. Miller (Eds.), *Civil Penalties, Social Consequences*, pp. 67-84 (Routledge).
- Uggen, C., Manza, J., and Behrens, A. (2004). Less than the average citizen: Stigma, role transition, and the civic reintegration of convicted felons. In S. Maruna and R. Immarigeon (Eds.), *After Crime and Punishment: Pathways to Offender Reintegration*, pp. 258-290. Cullompton, Devon, UK: Willan Publishing.
- Uggen, C., Manza, J., and Thompson, M. (2006). Citizenship, democracy, and the civic reintegration of criminal offenders. *The Annals of the American Academy of Political and Social Science* 605, 1, 281-310.
- Uggen, C. and Massoglia, M. (2007). Subjective desistance and the transition to adulthood. *Journal of Contemporary Criminal Justice* 23, 90.
- Uggen, C., Stewart, R., and Horowitz, V. (2018). Why not Minnesota? Norway, justice reform, and 50-Labs Federalism. *Federal Sentencing Reporter* 31, 1, 5-13.
- Uggen, C., Van Brakle, M., and McLaughlin, H. (2009). Punishment and social exclusion: National differences in prisoner disenfranchisement. In A. Ewald and B. Rottinghaus (Eds.), *Criminal Disenfranchisement in an International Perspective*, pp. 59-76. Cambridge University Press.
- U.S. Equal Employment Opportunity Commission. (2012). Enforcement Guidance on the Consideration of Arrest and Conviction Records in Employment Decisions under Title VII of the Civil Rights Act. <u>https://www.eeoc.gov/laws/guidance/enforcement-guidance-</u> consideration-arrest-and-conviction-records-employment-decisions.
- Vacca, J.S. (2004). Educated prisoners are less likely to return to prison. *Journal of Correctional Education* 55, 4, 297-305.
- Valverde, J. (2021, July 27). Remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, DC: National Academies of Sciences, Engineering, and Medicine.
- Veeh, C.A., Renn, T., and Pettus-Davis, C. (2018). Promoting reentry well-being: A novel assessment tool for individualized service assignment in prisoner reentry programs. *Social Work* 63, 1, 91-96.
- Veysey, B.M., Martinez, D.J., and Christian, J. (2013). "Getting Out:" A summary of qualitative research on desistance across the life course. In *Handbook of Life-course Criminology*, pp. 233-260. Springer: New York, NY.
- Vilda, D., Hardeman, R., Dyer, L., Theall, K.P., and Wallace, M. (2021). Structural racism, racial inequities and urban–rural differences in infant mortality in the US. *Journal of Epidemiology and Community Health* 75, 8, 1-6.

- Visher, C.A. (2007). Returning home: Emerging findings and policy lessons about prisoner reentry. *Federal Sentencing Reporter* 20, 1, 93-102.
- Visher, C.A., and La Vigne, N. (2020). Returning home: A pathbreaking study of prisoner reentry and its challenges. In P.K. Lattimore, B.M. Huebner, and F. Taxman (Eds.), *Handbook on Moving Corrections and Sentencing Forward*, pp. 278-311. Routledge.
- Visher, C.A., Lattimore, P.K., Barrick, K., and Tueller, S. (Eds.), Evaluating the long-term effects of prisoner reentry services on recidivism: What types of services matter? *Justice Quarterly* 34, 1, 136-165.
- Visher, C., O'Connell, D., and Cortina, H. (2020). Creating cognitive behavioral communities in prison. In B. Orrell (Ed.), *Rethinking Reentry*. Washington, DC: American Enterprise Institute.
- Visher, C.A. and Travis, J. (2003). Transitions from prison to community: Understanding individual pathways. *Annual Review of Sociology*, 29, 81-113.
- Wakeman, S.E., McKinney, M.E., and Rich, J.D. (2009). Filling the gap: The importance of Medicaid continuity for former inmates. *Journal of General Internal Medicine* 24, 7, 860-862.
- Waldfogel, J. (1994). Does conviction have a persistent effect on income and employment? *International Review of Law and Economics* 14, 1, 103-119.
- Wang, E.A., Long, J.B., McGinnis, K.A., Wang, K.H., Wildeman, C.J., Kim, C., Bucklen, K.B., Fiellin, D.A., Bates, J., Brandt, C., and Justice, A.C. (2019). Measuring exposure to incarceration using the electronic health record. *Medical Care* 57, S157-S163.
- Wang, E.A., Macmadu, A., and Rich, J.D. (2019). Examining the impact of criminal justice involvement on health through federally funded, national population-based surveys in the United States. *Public Health Reports* 134, 1, 228-338.
- Wang, E.A., Wang, Y., and Krumholz, H.M. (2013). A high risk of hospitalization following release from correctional facilities in Medicare beneficiaries: A retrospective matched cohort study, 2002 to 2010. JAMA Internal Medicine 173, 17, 1621-1628.
- Wakeman, S.E., McKinney, M.E., and Rich, J.D. (2009). Filling the gap: The importance of Medicaid continuity for former inmates. *Journal of General Internal Medicine* 24, 7, 860-862.
- Weaver, V.M. and Lerman, A.E. (2010). Political consequences of the carceral state. *American Political Science Review* 104, 4, 817–833. <u>http://doi.org/10.1017/S0003055410000456</u>.
- Weikart, D.P. (1998). Changing early childhood development through educational intervention. *Preventive Medicine* 27, 2, 233-237.
- Weisburd, D., Eck, J.E., Braga, A.A., Telep, C.W., Cave, B., Bowers, K., Bruinsma, G., Gill, C., Hibdon, J., Groff, E.R., and Hinkle, J.C. (2016). *Place Matters*. Cambridge University Press.
- Weisburd, D., Farrington, D.P., and Gill, C. (2017). What works in crime prevention and rehabilitation: An assessment of systematic reviews. *Criminology and Public Policy* 16, 2, 415-449.
- Western, B. (2002). The impact of incarceration on wage mobility and inequality. *American Sociological Review* 67,4, 526-546.
- Western, B. (2006). *Punishment and Inequality in America*. New York, NY: Russell Sage Foundation.
- Western, B. (2018). Homeward: Life in the Year After Prison. Russell Sage Foundation.

- Western, B. (2021). Inside the box: Safety, health, and isolation in prison. *Journal of Economic Perspectives* 35, 4, 97-122.
- Western, B., Braga, A.A., Davis, J., and Sirois, C. (2015). Stress and hardship after prison. *American Journal of Sociology* 120, 5, 1512-1547.
- Wildeman, C. (2021). The Impact of Incarceration on the Desistance Process Among Individuals Who Chronically Engage in Criminal Activity. Washington DC: U.S. Department of Justice, National Institute of Justice. https://www.ojp.gov/pdffiles1/nij/301500.pdf.
- Wildeman, C., and Wang, E.A. (2017). Mass incarceration, public health, and widening inequality in the USA. *The Lancet* 389, 10077, 1464-1474.
- Wilkinson, R., Rhine, E., and Henderson-Hurly, M. (2005). Reentry in Ohio corrections: A catalyst for change. *Journal of Correctional Education* 56, 2, 158-172.
- Williams, A.R., Nunes, E.V., Bisaga, A., Pincus, H.A., Johnson, K.A., Campbell, A.N., Remien, R.H., Crystal, S., Friedmann, P.D., Levin, F.R., and Olfson, M. (2018). Developing an opioid use disorder treatment cascade: A review of quality measures. *Journal of Substance Abuse Treatment* 91, 57-68.
- Willoughby, M., Keen, C., Young, J.T., Spittal, M.J., Borschmann, R., Janca, E., and Kinner, S.A. (2021). Violence-related morbidity among people released from prison in Australia: A data linkage study. *Drug and Alcohol Review 41, 2, 457-466*.
- Wilson, D.B., Gallagher, C.A., and MacKenzie, D.L. (2000). A meta-analysis of correctionsbased education, vocation, and work programs for adult offenders. *Journal of Research in Crime and Delinquency* 37, 4, 347-368.
- Winkler, A. (1993). Expressive voting. New York University Law Review 68, 330.
- Wong, K. (2019). If reoffending is not the only outcome, what are the alternatives? *Academic Insights 2019/07*. Manchester, UK: Her Majesty's Inspectorate of Probation.
- Wong, K., and Horan, R. (2019). Early testing and formative evaluation of enablers of change assessment and sentence planning tools for adults with convictions. *European Journal of Probation* 11, 1, 30-48.
- Woods, V. (2021, July 28). Practitioner Perspectives on Reentry Programs remarks made before the Committee. Committee on Evaluating Success Among People Released from Prison Meeting #2: Public Information Gathering Session. Washington, D.C.: National Academies of Sciences, Engineering, and Medicine.
- Wooldredge, J. (2020). Prison culture, management, and in-prison violence. *Annual Review of Criminology* 3, 165-88.
- World Health Organization. (1948). Preamble to the Constitution of the World Health Organization. Official Records of the World Health Organization, 1948.
- Wright, K.A. (2020). Time well spent: Misery, meaning, and the opportunity of incarceration. *The Howard Journal* 59, 1, 44-64.
- Wright, K.A., Morse, S.J, and Sutton, M.M. (2021). The limits of recidivism reduction: Advancing a more comprehensive understanding of correctional success. In J. Gould and P. Metzger (Eds.), *Big Ideas in Criminal Justice Reform*. New York, NY: New York University Press.
- Yehia, B.R., Schranz, A.J., Umscheid, C.A., and Lo Re III, V. (2014). The treatment cascade for chronic hepatitis C virus infection in the United States: A systematic review and metaanalysis. *PloS one* 9, 7, e101554.

- Yoon, H. (2019). *Back to School: A Common-Sense Strategy to Lower Recidivism*. Washington, DC: Vera Institute of Justice. <u>https://www.vera.org/blog/back-to-school-a-common-sense-strategy-to-lower-recidivism</u>
- Zgoba, K.M., Haugebrook, S., Jenkins, K. (2008). The influence of GED obtainment on inmate release outcome. *Criminal Justice and Behavior* 35, 3, 375-387. doi:10.1177/0093854807311853.